



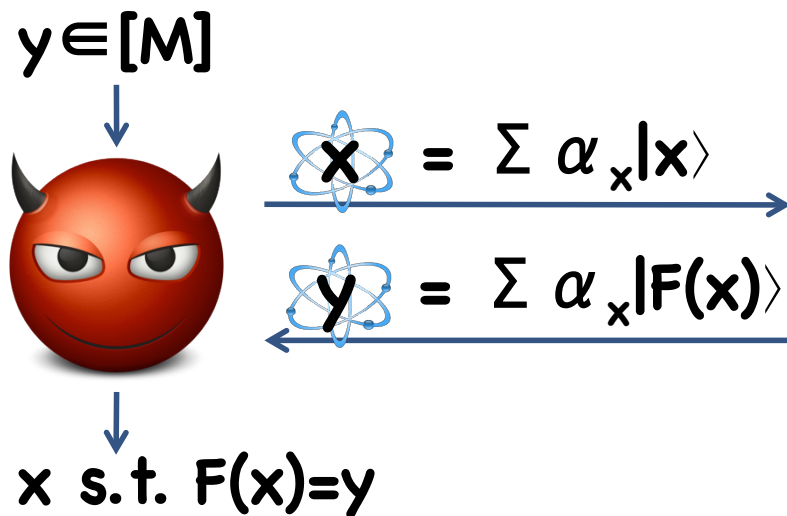
Quantum Query Solvability

Mark Zhandry – Stanford, MIT

Quantum Query Complexity

How many (quantum) queries are required to solve a given oracle task

Ex: Pre-image search



$$F: [M] \rightarrow [N]$$

[Gro'96, BBBV'97]: $\Theta(N^{1/2})$ queries required

Quantum Query Complexity Results

General form: “ $\Theta(f(M,N))$ quantum queries required to solve with success probability $2/3$ ”

- $O(f(M,N))$: “upper bound”, a.k.a algorithm
- $\Omega(f(M,N))$: “lower bound”

Notes:

- Generally worst case
- Asymptotic in # of queries:
 - “exactly $f(M,N)$ queries required...” very unusual
- Almost always allow for some errors
- **$2/3$** sort of arbitrary, as long as constant

Lower Bounds for Cryptographers

Quantum Lower Bounds

Worst case

Rule out algorithms with high success probability (say $2/3$)

Asymptotic in # of queries

What Cryptographers Want

Average case

- E.g. random function \mathbf{F} , output \mathbf{y}

Even success probability $1/\log N$ is devastating

Asymptotic in success probability OK

- Sometimes # of queries is exact

Often consider different settings

Quantum Query Solvability

Ideal format of results for crypto

General form: “Given q quantum queries, max success probability is $\Theta(f(q, M, N))$ ”

Notes:

- Asymptotic in success prob, exact in # of queries
- Makes sense even for extremely small probabilities

Case Study 1: Pre-Image Search

Quantum Query Complexity: $\Theta(N^{1/2})$ [Gro'96, BBBV'97]

What is the quantum query solvability?

- A. $\Theta(q/N^{1/2})$
- B. $\Theta(q^2/N^{1/2})$
- C. $\Theta(q^2/N)$
- D. $\Theta(q^2/N^2)$
- E. $\Theta(q^4/N^2)$

Case Study 1: Pre-Image Search

Quantum Query Complexity: $\Theta(N^{1/2})$ [Gro'96, BBBV'97]

What is the quantum query solvability?

Inconsistent with QQC

A. $\Theta(q/N^{1/2})$

~~B. $\Theta(q^2/N^{1/2})$~~

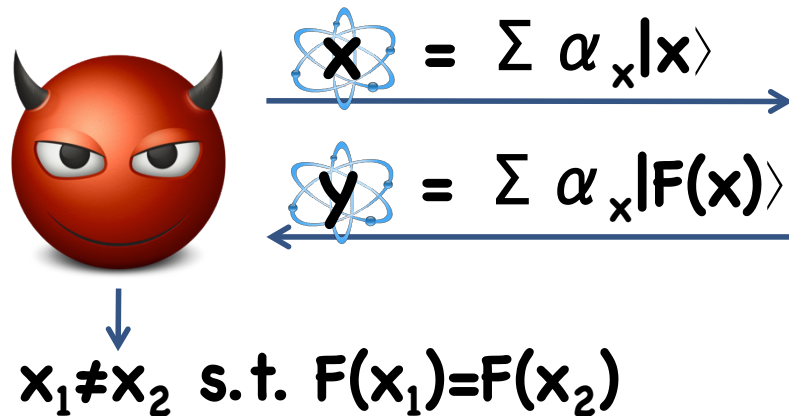
C. $\Theta(q^2/N)$

~~D. $\Theta(q^2/N^2)$~~

E. $\Theta(q^4/N^2)$

Not hard to show
using hybrid method
[BBBV'97]

Case Study 2: Quantum Collision Finding



$$F: [M] \rightarrow [N]$$

How many (quantum) queries needed to find collision?

- Relation to other problems (e.g. element distinctness, graph isomorphism)
- “Collision resistant” functions central to crypto
 - Often model such functions as random functions
 - For crypto, almost always want $N \ll M$
- Query complexity/solvability guides parameter settings

Case Study 2: Quantum Collision Finding

Quantum Query Complexity: $\Theta(N^{1/3})$ [BHT'97,A'01,Shi'01,Zha'15]

What is the quantum query solvability?

- A. $\Theta(q/N^{1/3})$
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- C. $\Theta(q^2/N)$
- D. $\Theta(q^3/N)$
- E. $\Theta(q^6/N^2)$

Case Study 2: Quantum Collision Finding

Quantum Query Complexity: $\Theta(N^{1/3})$ [BHT'97,A'01,Shi'01,Zha'15]

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Who Cares

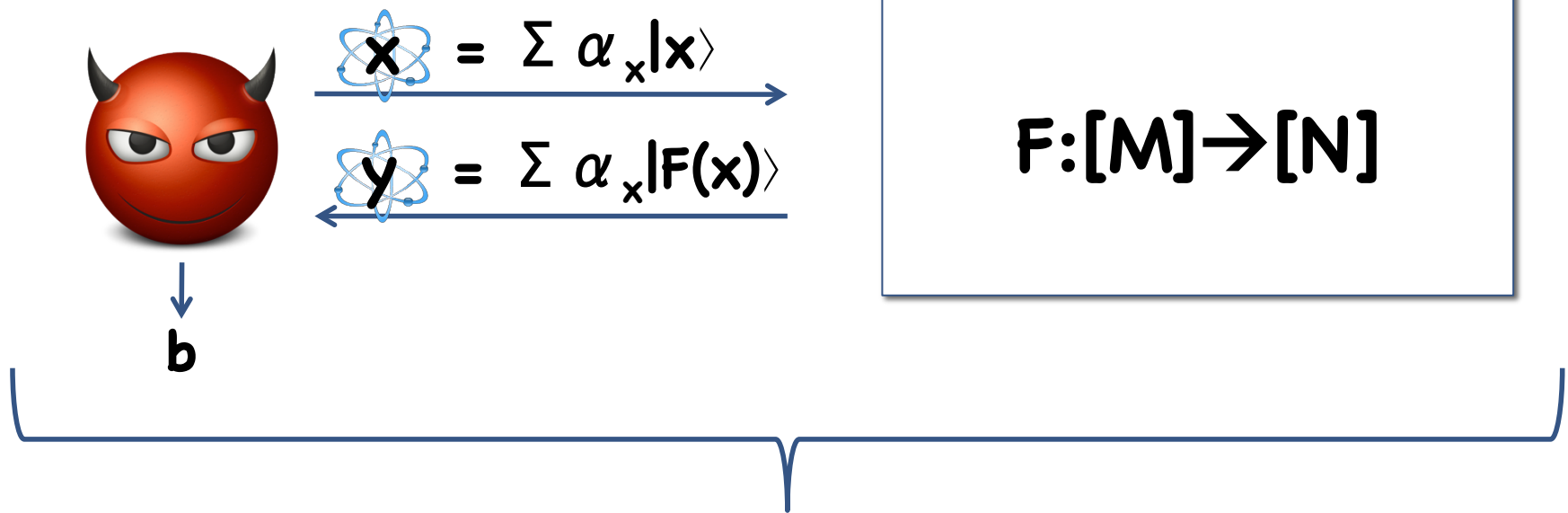
So what if QQS of collision finding was $\Theta(q/N^{1/3})$ instead of $\Theta(q^3/N)$?

- Interesting natural question
- Affects concrete parameters used for crypto hash functions
 - Adversary can make, say, 2^{80} queries
 - Considered broken if collision can be found with prob $>2^{-80}$
 - $\Theta(q^3/N)$: $N \geq 2^{320}$ (need **320**-bit hashes)
 - $\Theta(q/N^{1/3})$: $N \geq 2^{480}$ (need **480**-bit hashes)
- Can be useful intermediate step for QQC results!

The QQC of Collision Finding

Initial results ([Aar'01, Shi'01, HH'04]) prove lower bounds for an **easier** problem:

b=1: F has “many” collisions
b=0: F injective



Quantum Collision *Detection*

Quantum Collision Detection

Thm ([Aar'01, Shi'01, HH'04]): $\Omega(N^{1/3})$ lower bound for worst case collision detection problem when $N \geq M$

Cor: $\Omega(N^{1/3})$ lower bound for worst case collision *finding* problem when $N \geq M$

Proof: Injective functions have no collisions
 \Rightarrow any collision finding is also a detector

Notes:

- When $N < M$, collisions guaranteed to exist \Rightarrow detection is easy!
- Worst case: results only apply to **r-to-1** functions

Average Case Quantum Collision Detection

$b=1: F \leftarrow \text{Func}([M],[N])$
 $b=0: F \leftarrow \text{InjFunc}([M],[N])$



$$\begin{aligned} \mathbf{x} &= \sum \alpha_x |x\rangle \\ \mathbf{y} &= \sum \alpha_x |F(x)\rangle \end{aligned}$$

b

$$F: [M] \rightarrow [N]$$

Average Case Quantum Collision *Detection*

Step 1: Extend to Average Case

Thm ([Yue'14]): $\Omega(N^{1/5})$ lower bound for average case quantum collision detection problem

Uses adversary method + worst-case collision lower bound

Thm ([Zha'15]): $\Omega(N^{1/3})$ lower bound for average case quantum collision detection problem

Uses “polynomial-like” method from [Zha'12]

Step 2: Extend to Quantum Query Solvability

Actually show something stronger:

Thm ([Zha'15]): $O(q^3/N)$ bound on success probability for average case quantum collision detection problem



Cor 1: $O(q^3/N)$ bound on success probability for average case quantum collision *finding* problem when $N \geq M$

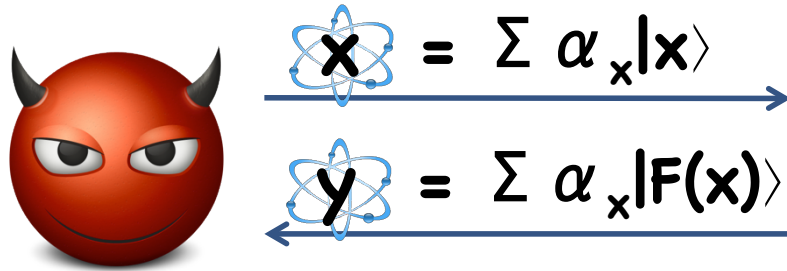
Step 3: Extend QQS to Arbitrary N, M

Cor 1: $O(q^3/N)$ bound on success probability
for average case quantum collision *finding*
problem when $N \geq M$



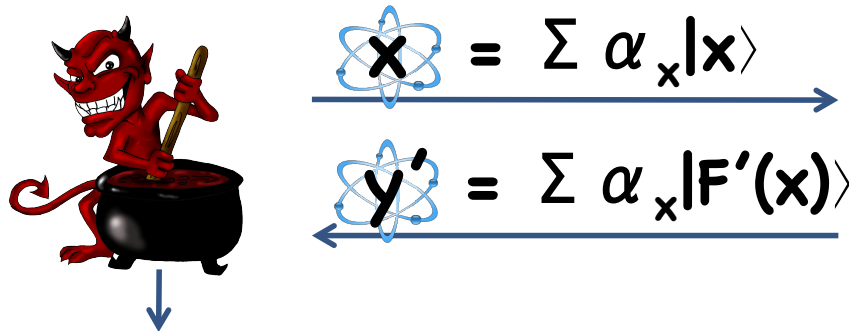
Cor 2: $O(q^3/N)$ bound on success probability
for average case quantum collision *finding*
problem for arbitrary N, M

Proof Idea



$$F: [M] \rightarrow [N]$$

$x_1 \neq x_2$ s.t. $F(x_1) = F(x_2)$ with prob p



$$F': [M] \rightarrow [NK]$$

$x_1 \neq x_2$ s.t. $F'(x_1) = F'(x_2)$ with prob p'

Proof



$$|x\rangle = \sum \alpha_x |x\rangle$$

$$|y\rangle = \sum \alpha_x |F(x)\rangle$$

$$F(x) = F'(x) \pmod N$$

$$|y\rangle = |y'\rangle \pmod N$$

$x_1 \neq x_2$ s.t. $F(x_1) = F(x_2)$ with prob p



$x_1 \neq x_2$ s.t. $F'(x_1) = F'(x_2)$ with prob p/K

$$|y'\rangle$$

$$|x\rangle$$

$$F': [M] \rightarrow [NK]$$

Proof



Success prob p
on $F:[M] \rightarrow [N]$



Success prob p/K
on $F':[M] \rightarrow [N' = NK]$

Choose K so that $N' = NK \geq M$


$$\Rightarrow p/K = O(q^3/(NK))$$

$$\Rightarrow p = O(q^3/N)$$




Proof Overview


Thm ([Zha'15]): $O(q^3/N)$ bound on success probability for average case quantum collision *detection* problem



Cor 1: $O(q^3/N)$ bound on success probability for average case quantum collision *finding* problem when $N \geq M$



Cor 2: $O(q^3/N)$ bound on success probability for average case quantum collision *finding* problem for *arbitrary* N, M



Cor 3: $\Omega(N^{1/3})$ lower bound for average case quantum collision *finding* problem for arbitrary N, M

Effect of Different Solvabilities

Suppose QQS was $O(q/N^{1/3})$



Success prob p
on $F:[M] \rightarrow [N]$



Success prob p/K
on $F':[M] \rightarrow [N' = NK]$

Choose K so that $N' = NK \geq M$

$$\Rightarrow p/K = O(q/(NK)^{1/3})$$

$$\Rightarrow p = O(qK^{2/3}/N^{1/3}) = O(q M^{2/3}/N)$$

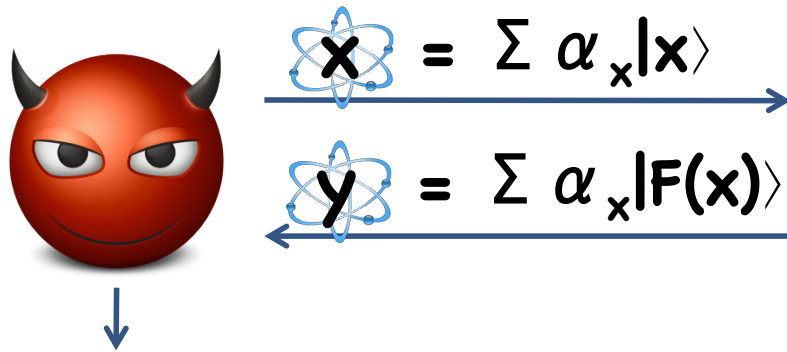


Quantum query complexity is $\Omega(N/M^{2/3})$

- Meaningless when $N < M^{2/3}$

Case Study 3: Quantum Oracle Interrogation

$$F \leftarrow \text{Func}([M],[N])$$



$$F: [M] \rightarrow [N]$$

Distinct $(x_1, F(x_1)), (x_2, F(x_2)), \dots, (x_k, F(x_k))$

Comes up in quantum resistant MAC/Signature analysis

- N exponential
- Want (extremely) low success probability even for $q=k-1$
- Success prob 1 for $q=k \Rightarrow$ Asymptotic query count meaningless

[vD'98]: Query complexity $< 0.501k$ for $N=2$

Case Study 3: Quantum Oracle Interrogation

How does [vD'98] generalize to arbitrary N ?

Open up analysis:

- Success probability $\sum_{r=0}^q \binom{k}{r}$

- Generalize algorithm to arbitrary N : $C_{k,q,N} := \sum_{r=0}^q \binom{k}{r} (N-1)^r$

- Small constant N : $q = (1 - 1/N + \varepsilon)k \Rightarrow \text{prob } 1 - 2^{-O(k)}$
 - E.g. $N=4$ (2 bit outputs), need $q=0.751k$ queries to output k points
- Exponential N : even when $q=k-1$, prob is $< (q+1)/N$
 - But is this attack optimal?

Matching Lower Bound?

Existing methods (e.g. adversary, polynomial) don't cut it as is

- Theorem statements asymptotic in *query number*
- Other difficulties in using

[BZ'13]: developed new method – the Rank method

- Relates success prob after q queries to prob before *any* query
- Built from the start to give quantum query *solvability* results

Thm ([BZ'15]): $C_{k,q,N}$ is the best possible success probability for quantum oracle interrogation

- [vD'98] and generalization are **exactly** optimal!

Takeaways

QQS useful quantity to study

- Natural
- Reveals important info missed using QQC alone
- Good for cryptographers
- Meaningful in settings where QQC loses meaning
- Can help for proving QQC results
- Better understanding of power of a quantum query?
 - Extra q factor?

