

# COS433/Math 473: Cryptography

Mark Zhandry

Princeton University

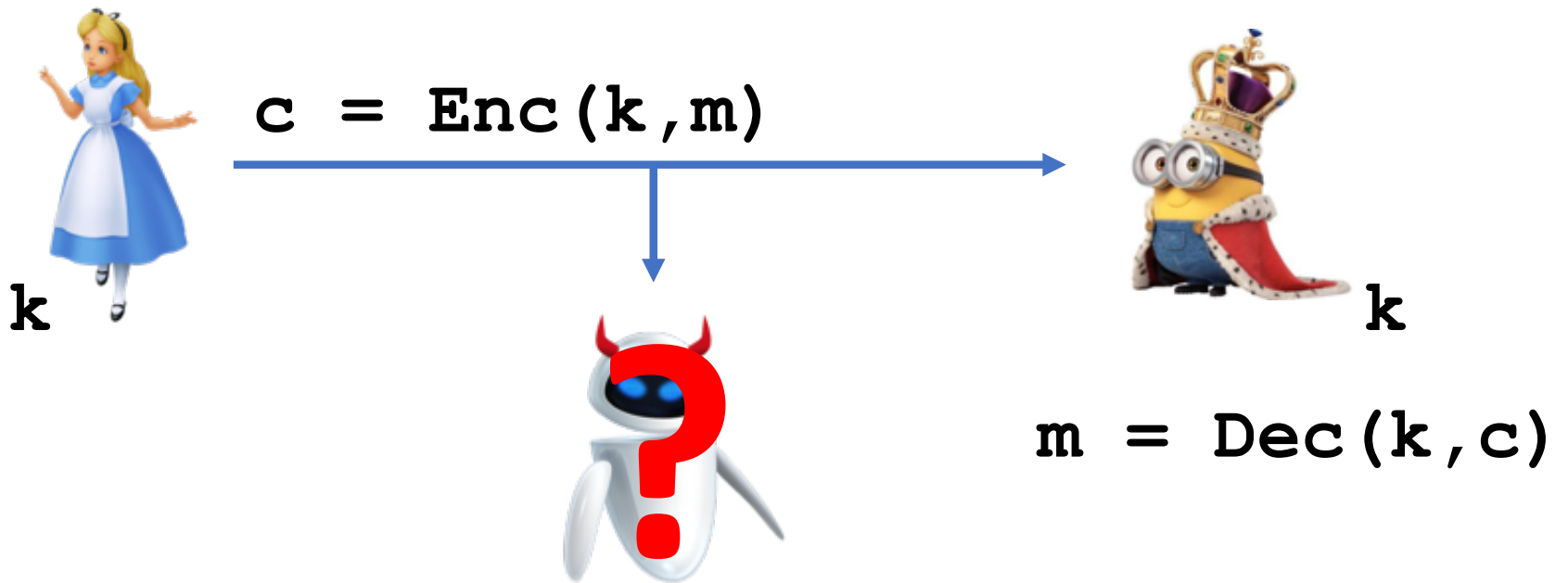
Spring 2017

Previously on COS 433...

# Pre-modern Cryptography

1900 B.C. – mid 1900's A.D

With few exceptions, synonymous with **encryption**



# Generalization: Substitution Ciphers

Apply fixed permutation to plaintext letters

|   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| a | b | c | d | e | f | g | h | i | j | k | l | m | n | o | p | q | r | s | t | u | v | w | x | y | z |
| F | M | S | G | Y | U | J | B | T | P | Z | K | E | W | L | Q | H | V | A | X | R | D | N | C | I | O |

Example:

plaintext:    **super secret message**

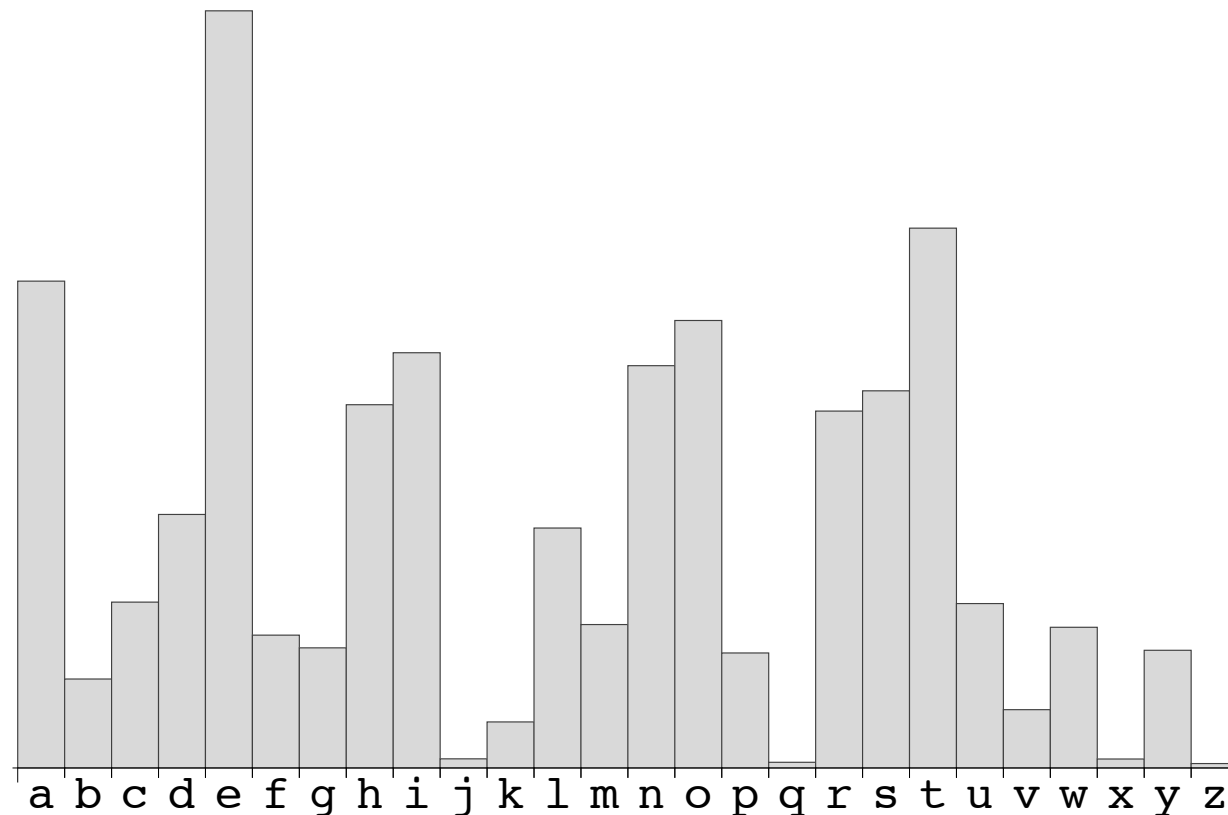
ciphertext: **ARQYV AYSVYX EYAAFJY**

Number of possible keys?

$26! \approx 2^{88}$  ➡ brute force attack expensive

# 800's A.D. – First Cryptanalysis

Al-Kindi – Frequency Analysis: some characters are more common than others



# Keyed Polybius Square

|   |   |   |     |   |   |
|---|---|---|-----|---|---|
|   | 1 | 2 | 3   | 4 | 5 |
| 1 | y | n | r   | b | f |
| 2 | d | l | w   | o | g |
| 3 | s | p | a   | t | k |
| 4 | h | v | i j | x | c |
| 5 | q | u | z   | e | m |

plaintext:    s u p e r   s e c r e t   m e s s a g e

ciphertext:   3 1 5 2 3 2 5 4 1 3   3 1 5 4 4 5 1 3 5 4 3 4   5 5 5 4 3 1 3 1 3 3 2 5 5 4

# Polygraphic Substitution

Frequency analysis requires seeing many copies of the same character/group of characters

Idea: encode **d = 2, 3, 4**, etc characters at a time

- New alphabet size:  **$26^d$**
- Symbol frequency decreases:
  - Most common digram: "th", 3.9%
  - trigram: "the", 3.5%
  - quadrigram: "that", 0.8%
- Require much larger ciphertext to perform frequency analysis

# Homophonic Substitution

# Ciphertexts use a larger alphabet

# Common letters have multiple encodings

## To encrypt, choose encoding at random

plaintext:        **super secret message**

ciphertext:      **EKPH9  O3MJ3Z  VAOEDNH**

[illegible]



# Polyalphabetic Substitution

Use a different substitution for each position

Example: Vigenère cipher

- Sequence of shift ciphers defined by keyword

|             |              |               |                |
|-------------|--------------|---------------|----------------|
| keyword:    | <b>crypt</b> | <b>ocrypt</b> | <b>ocrypto</b> |
| plaintext:  | <b>super</b> | <b>secret</b> | <b>message</b> |
| ciphertext: | <b>ULNTK</b> | <b>GGTPTM</b> | <b>AGJQPZS</b> |

# The One-Time Pad

Vigenère on steroids

- Every character gets independent substitution
- Only use key to encrypt one message,  
key length  $\geq$  message length

|             |              |               |                |
|-------------|--------------|---------------|----------------|
| keyword:    | <b>agule</b> | <b>melpqw</b> | <b>gnspemr</b> |
| plaintext:  | <b>super</b> | <b>secret</b> | <b>message</b> |
| ciphertext: | <b>SAIPV</b> | <b>EINGUP</b> | <b>SRKHESR</b> |

No substitution used more than once, so frequency analysis is impossible

# Perfect Secrecy [Shannon'49]

**Definition:** A scheme **(Enc, Dec)** has **perfect secrecy** if, for any two messages  $\mathbf{m}_0, \mathbf{m}_1 \in \mathcal{M}$

$$\text{Enc}(\mathbf{K}, \mathbf{m}_0) \stackrel{d}{=} \text{Enc}(\mathbf{K}, \mathbf{m}_1)$$



Random variable corresponding  
to uniform distribution over  $\mathbf{K}$

Random variable corresponding  
to encrypting  $\mathbf{m}_1$  using a  
uniformly random key

# Perfect Secrecy of One-time Pad

**Theorem:** For any message  $\mathbf{m} \in \{0,1\}^n$  and ciphertext  $\mathbf{c} \in \{0,1\}^n$ ,

$$\Pr[ \text{Enc}(\mathbf{k}, \mathbf{m}) = \mathbf{c} ] = 2^{-n}$$

Proof:

$$\begin{aligned} \Pr[ \text{Enc}(\mathbf{k}, \mathbf{m}) = \mathbf{c} ] &= \Pr[ \mathbf{k} \oplus \mathbf{m} = \mathbf{c} ] \\ &= \Pr[ \mathbf{k} = \mathbf{c} \oplus \mathbf{m} ] \\ &= 2^{-n} \end{aligned}$$

Today

“Pre-modern” Crypto Part II:  
Transposition Ciphers and  
Electromechanical Ciphers

# Transposition Ciphers

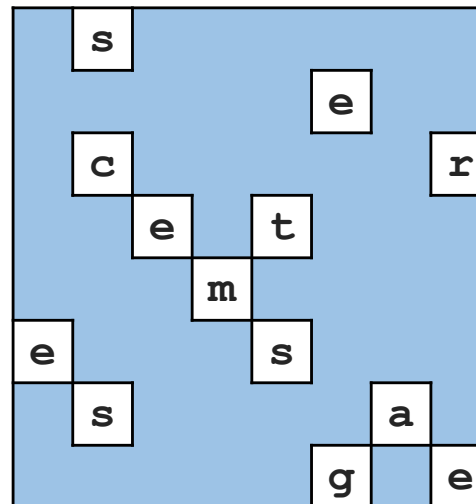
Shuffle plaintext characters

Greek Scytal (600's B.C.)



<https://commons.wikimedia.org/wiki/File:Skytale.png>

Grille (1500's A.D.)



|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| a | s | h | o | e | v | q | k |
| g | i | p | c | e | e | f | j |
| e | c | n | i | d | z | w | r |
| g | i | e | b | t | e | b | o |
| k | c | d | m | i | z | d | p |
| e | b | i | d | s | h | e | r |
| n | s | d | u | r | e | a | v |
| h | k | e | g | u | g | a | e |

# Aside: steganography

Hiding the fact that a message is even being sent

Many examples

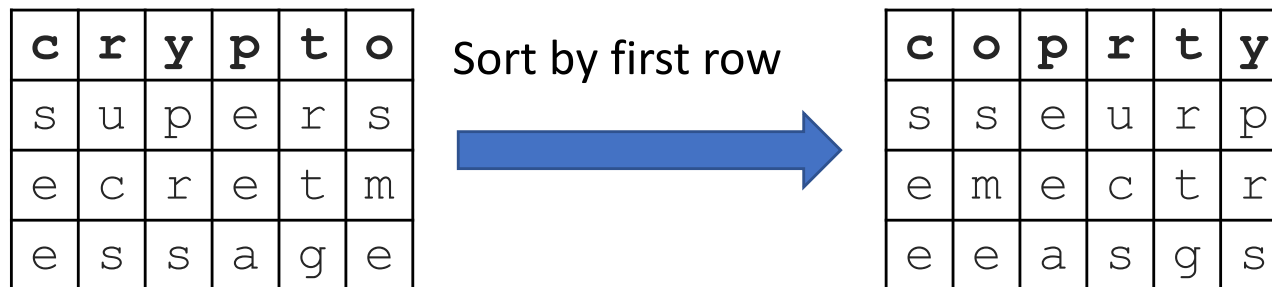
- Invisible ink
- Microdots
- Blinking morse-code
- Images in low-order color bits
- Delays in network packets

# Column Transposition

key: **crypto**

ptxt: **supersecretmessage**

Encryption:



ctxt: **SEESMEEEAUCSRTGPRS** (read off columns)

Cryptanalysis:

- Guess key length, reconstruct table
- Look for anagrams in the rows

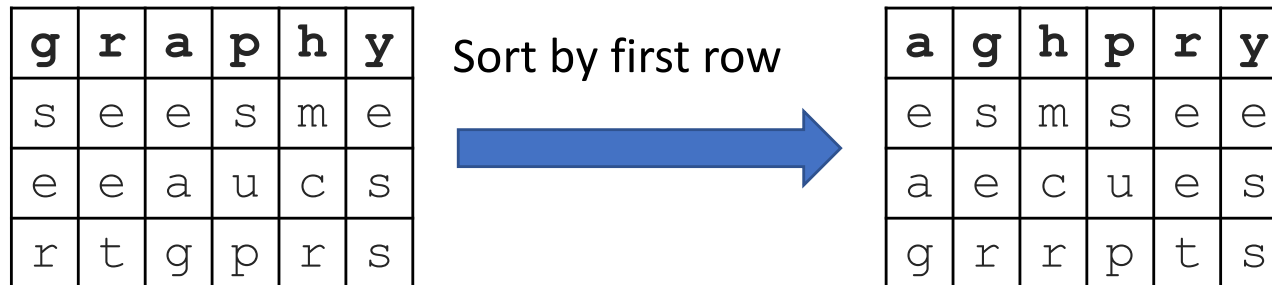


# Double Column Transposition

key: **graphy**

ctxt0: **SEESMEEEAUCSRTGPRS**

Encryption:



ctxt: **EAGSERMCRSUPEETESS**

Example: Germany, WWI

- French were able to decrypt after seeing several messages of the same length

# Bifid Cipher

Polybius square + Transposition + Inverse Polybius

|   | 1 | 2 | 3   | 4 | 5 |
|---|---|---|-----|---|---|
| 1 | y | n | r   | b | f |
| 2 | d | l | w   | o | g |
| 3 | s | p | a   | t | k |
| 4 | h | v | i j | x | c |
| 5 | q | u | z   | e | m |

plaintext:    **super secret message**

Polybius:    **35351 354153 5533325**  
              **12243 145344 5411354**

Transpose:   **353513541535533325122431453445411354**

Inv.Polybius:**k k r e f k z a g n o s c t c h r e**

# Bifid Cipher

Polybius square + Transposition + Inverse Polybius

Invented in 1901 by Felix Delastelle

Each ctxt character depends on **two** ptxt characters

- Still possible to break using frequency analysis

Repetition?

- Double Bifid: each ctxt char depends on **four** ptxt chars
- Triple Bifid: each ctxt char depends on **eight** ptxt chars
- ...

Enter Technology...

# Disk-based Substitution Ciphers

First Invented by Alberti, 1467



\*



†



‡

\* cropped from <http://www.cryptomuseum.com/crypto/usa/ccd/img/301058/000/full.jpg>

† cropped from <https://www.flickr.com/photos/austinmills/13430514/sizes/l>

‡ <https://commons.wikimedia.org/wiki/File:Captain-midnight-decoder.jpg>

# Disk-based Substitution Ciphers

In most basic form, simple monoalphabetic cipher

Alberti Cipher – rotate the disk periodically

- Considered the first polyalphabetic cipher

Jefferson disk: used by US military until WWII



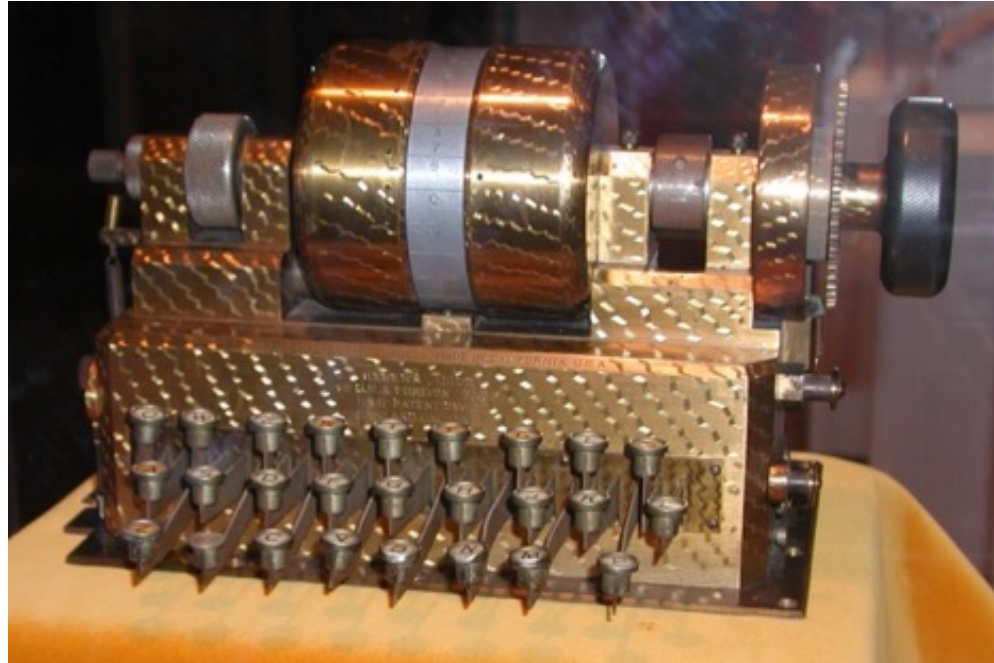
# Rotor Machines

Widespread starting in the 1920's

Automatically advance rotor in regular intervals

- Automate process of rotating disk to change substitution
- Eventually allow for more complex substitutions

# Rotor Machines



<https://commons.wikimedia.org/wiki/File:Hebern1.jpg>

Rotor contains substitution, advances by one after each stroke, creating different substitution



# Rotor Machines

More rotors!



[http://americanhistory.si.edu/collections/search/object/nmah\\_694514](http://americanhistory.si.edu/collections/search/object/nmah_694514)

Every time one rotor completes a revolution, it advances the next rotor

# Cryptanalysis of Rotor Machines?

**d** rotors  $\rightarrow$  polyalphabetic cipher with key length  **$26^d$**

Possible to break via brute force if only a few rotors

But what if you don't know the permutation given by the rotors?

# Edward Hebern vs. William Friedman

Hebern invented machines using 1 to 5 rotors

Tried to sell to US Military, but rejected

Unknown to Hebern, US cryptanalyst Friedman had shown to break machine, given just **10 ciphertexts**

- And, Friedman wasn't even given rotor wirings!

# PURPLE

Diplomatic cipher used by Japanese Foreign Office

Using knowledge gained from cryptanalyzing Hebern's machine, US Intelligence was able to complete reconstruct the cipher machine **using only intercepted ciphertexts**

Friedman's technique applies to any cipher-based machine where fast rotor at one end

# Determining Rotor Wirings

Each rotor represents a permutation  $\mathbf{R}_1, \mathbf{R}_2, \dots$  on  $\mathbb{Z}_{26}$

If rotor  $\mathbf{i}$  has rotated  $\mathbf{j}$  times, then it applies the permutation

$$\mathbf{C}^{\mathbf{j}} \circ \mathbf{R}_{\mathbf{i}} \circ \mathbf{C}^{-\mathbf{j}}$$

Where  $\mathbf{C}$  maps “a” to “b”, “b” to “c”, etc

Overall permutation:

$$\mathbf{C}^{\mathbf{l}} \circ \mathbf{R}_3 \circ \mathbf{C}^{-\mathbf{l}} \circ \mathbf{C}^{\mathbf{k}} \circ \mathbf{R}_2 \circ \mathbf{C}^{-\mathbf{k}} \circ \mathbf{C}^{\mathbf{j}} \circ \mathbf{R}_1 \circ \mathbf{C}^{-\mathbf{j}}$$

# Determining Rotor Wirings

For first 26 letters, only first rotor ever turns

Can write permutation as

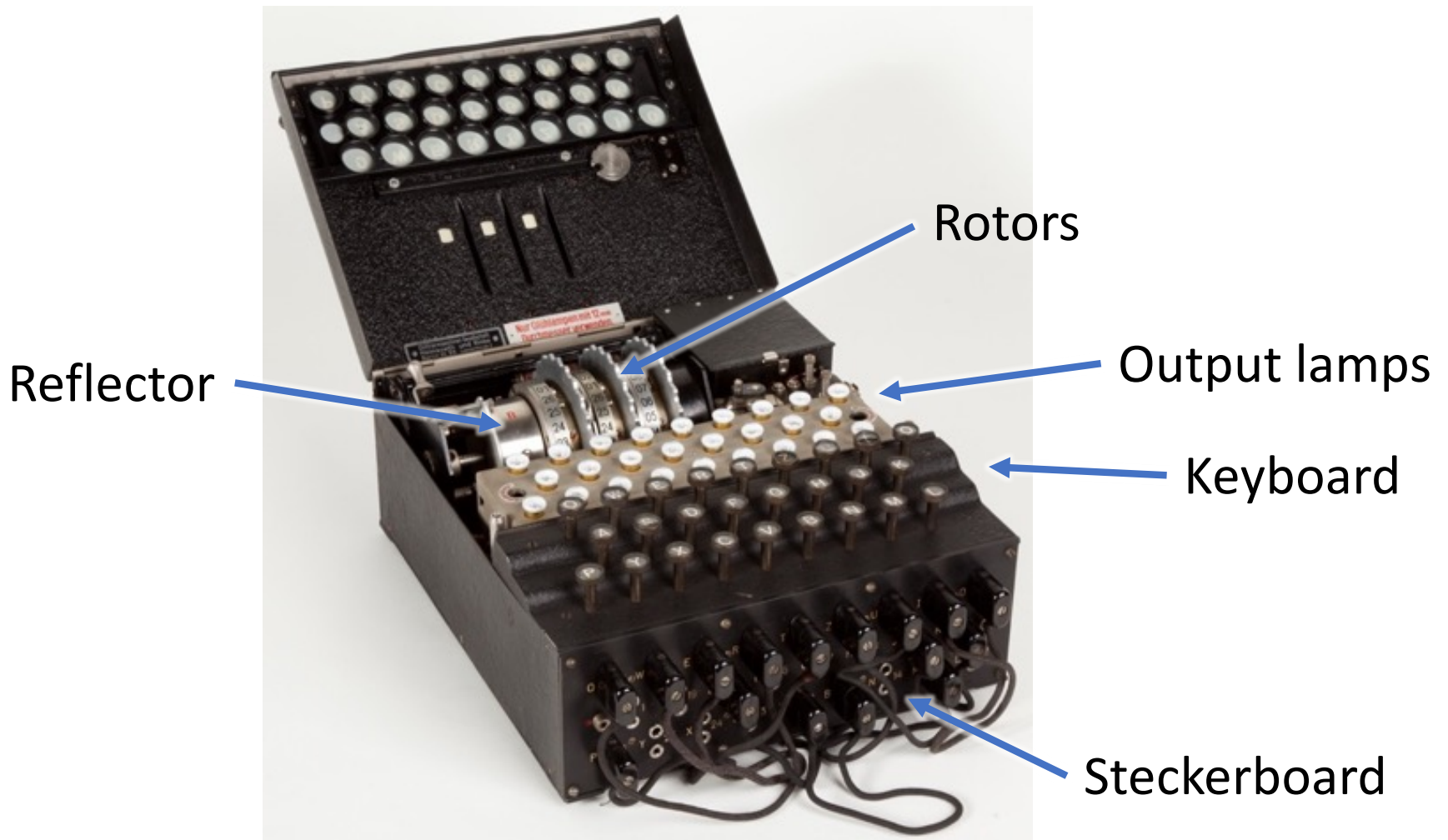
$$\mathbf{L} \circ \mathbf{C}^j \circ \mathbf{R}_1 \circ \mathbf{C}^{-j}$$

For next 26 letters, identical, except different **L**:

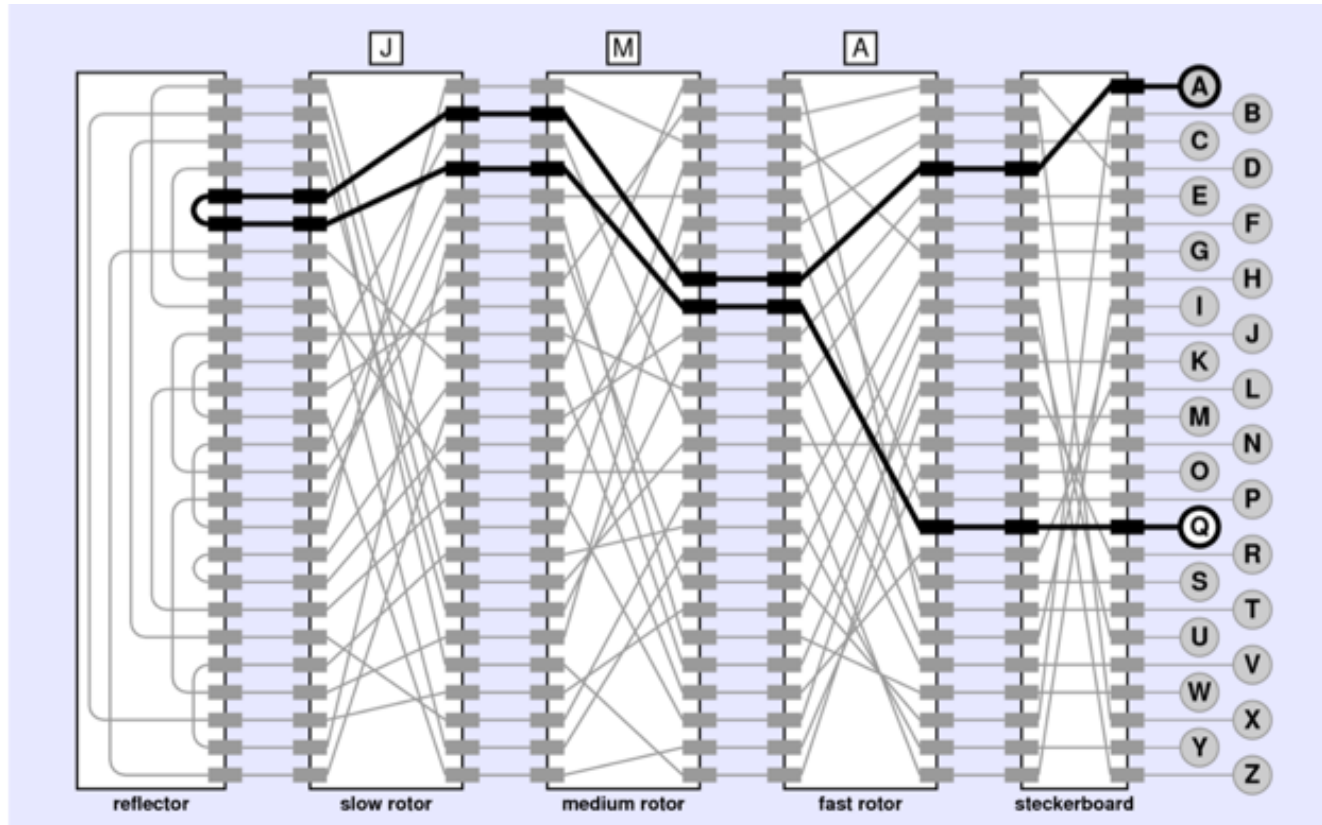
$$\mathbf{L}' \circ \mathbf{C}^j \circ \mathbf{R}_1 \circ \mathbf{C}^{-j}$$

A lot of structure in cipher to exploit.

# The German Enigma Machine



# Enigma Diagram



<http://stanford.edu/class/archive/cs/cs106a/cs106a.1164/handouts/29A-CryptographyChapter.pdf>



# Enigma Keys

Key:

- Selection of 3 rotors out of 5 (60 possibilities)
- Initial rotor setting ( $26^3$ )
- Steckerboard wiring (216,751,064,975,576)

Possible attack strategies?

- Brute force
  - $2^{68}$  possible keys: feasible today, but not in WWII
- Frequency analysis
  - Polyalphabetic with key length  $26^3 = 17576$
  - Likely no key was used to encrypt enough material

# Cracking the Enigma

Key Factors:

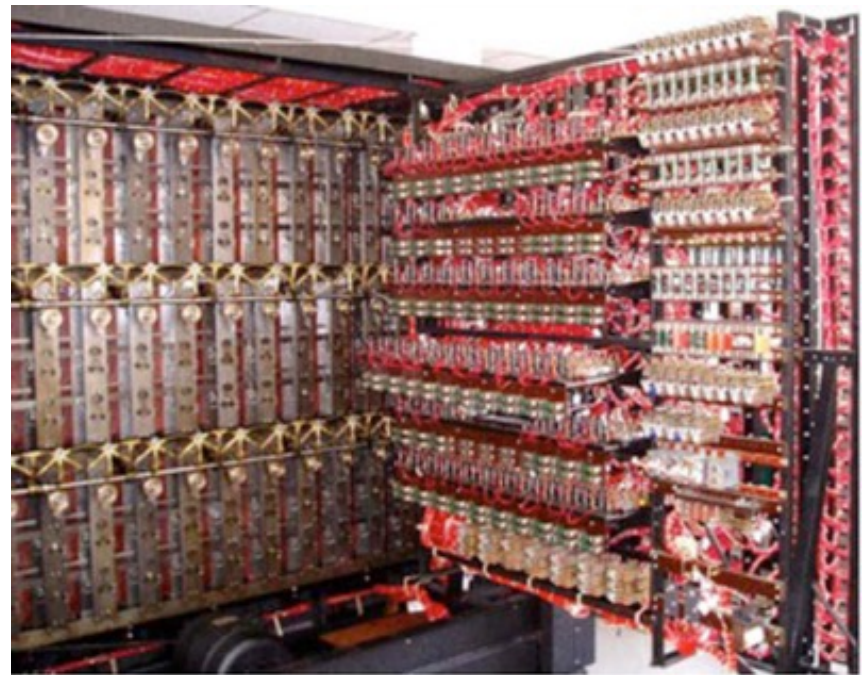
- Captured Enigma device



# Cracking the Enigma

Key Factors:

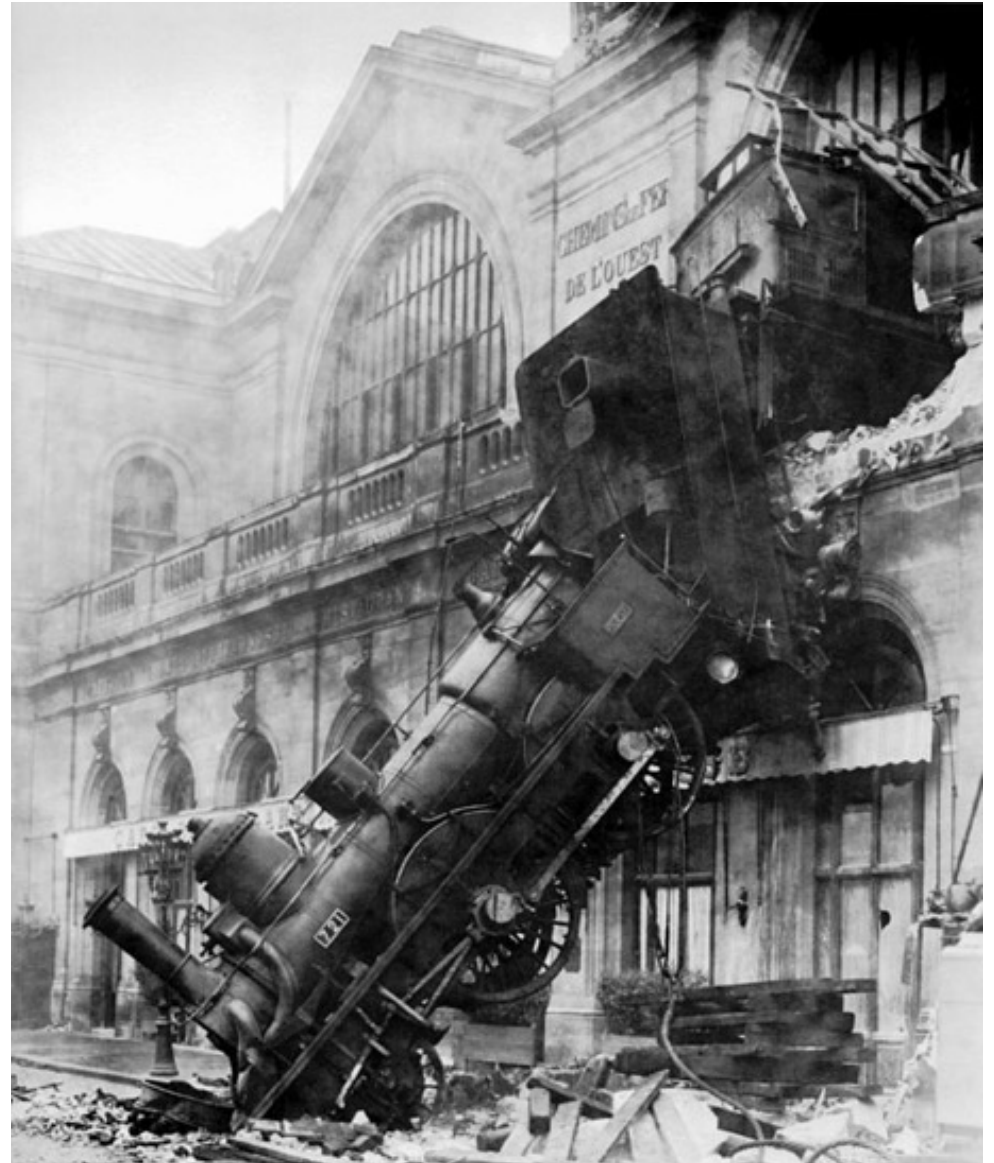
- Technology



# Cracking the Enigma

Key Factors:

- User error/bad practices



# Cracking the Enigma

Key Factors:

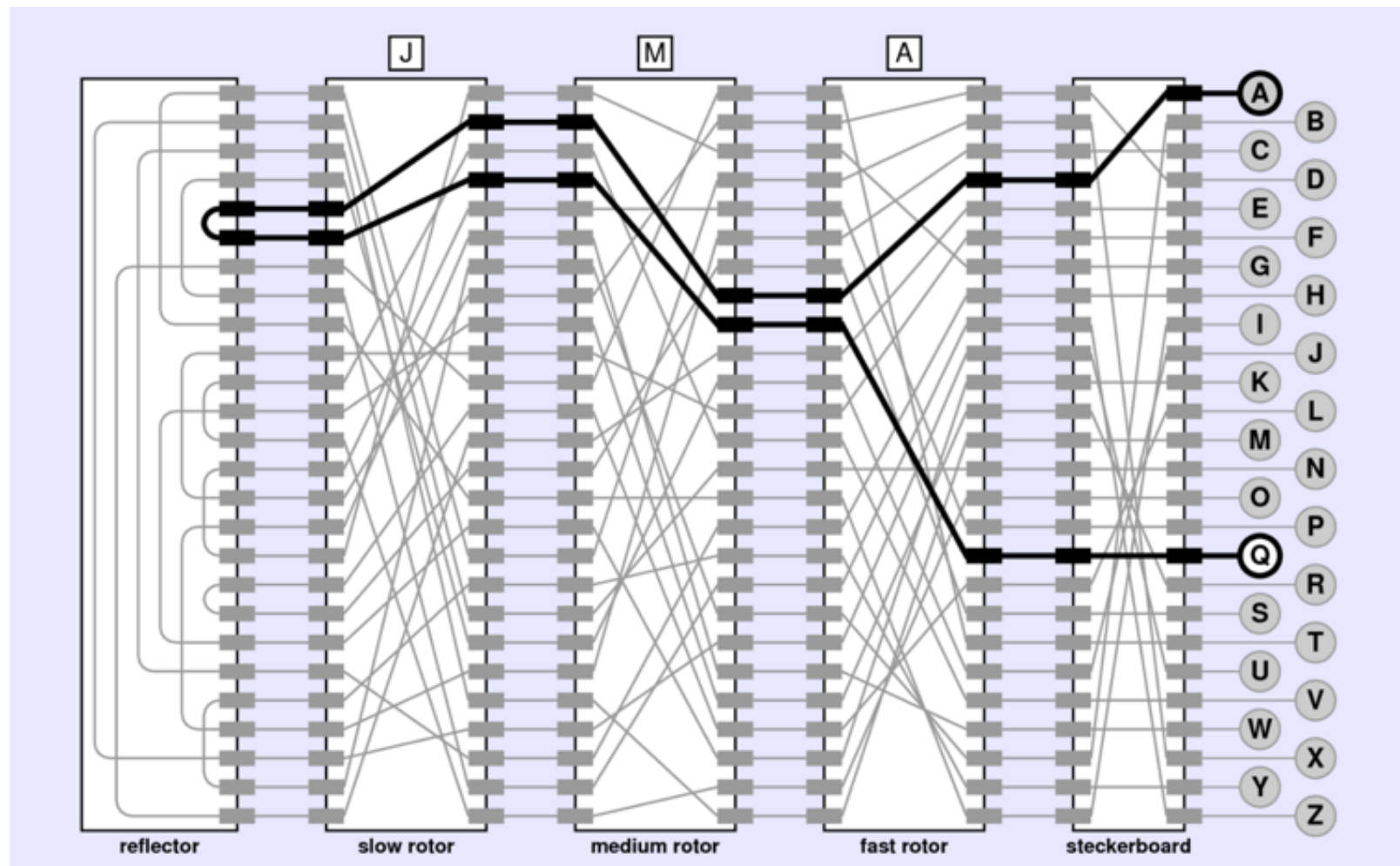
- Known/chosen plaintexts



# Cracking the Enigma

Key Factors:

- Mathematical weaknesses

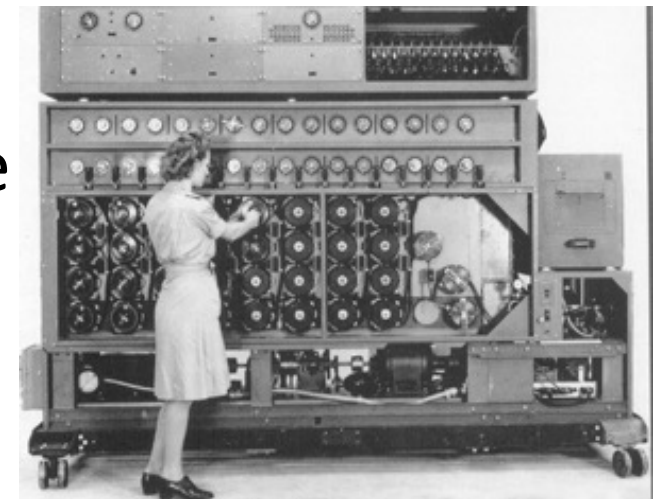




# A Key Insight: Loops



- Loops unaffected by steckerboard wiring
- Only need to search the  $\approx 2^{20}$  rotor positions to find one that generates such a loop
- Possible at the time using the Bombe



# Takeaway: Kerckhoffs's Principle

**Kerckhoffs's Principle:** A cryptosystem should be secure even if everything about the system, except the key, is public knowledge.

- Leaks happen. Should only have to update key, not redesign entire system
  - Even worse, cipher can potentially be reconstructed from ciphertexts
- More eyes means more likely to be secure
- Necessary for formalizing crypto (more later)



# Holiwudd Criptoe!

The scanner uses proprietary encryption. I'm sorry, but it would take me days to crack this ... I'm so sorry.



# Takeaway: Crypto is Hard

Designing crypto is hard, even experts get it wrong

- Just because I don't know how to break it doesn't mean someone else can't

Unexpected attack vectors

- Known/chosen plaintext attack
- Chosen *ciphertext* attack
- Timing attack
- Power analysis
- Acoustic cryptanalysis

# Takeaway: Crypto is Hard

Don't design your own crypto

- You'll probably get it wrong

Actually, don't even implement your own crypt

- Instead, use well studied crypto library built and tested by many experts

# Takeaway: Need for Formalism

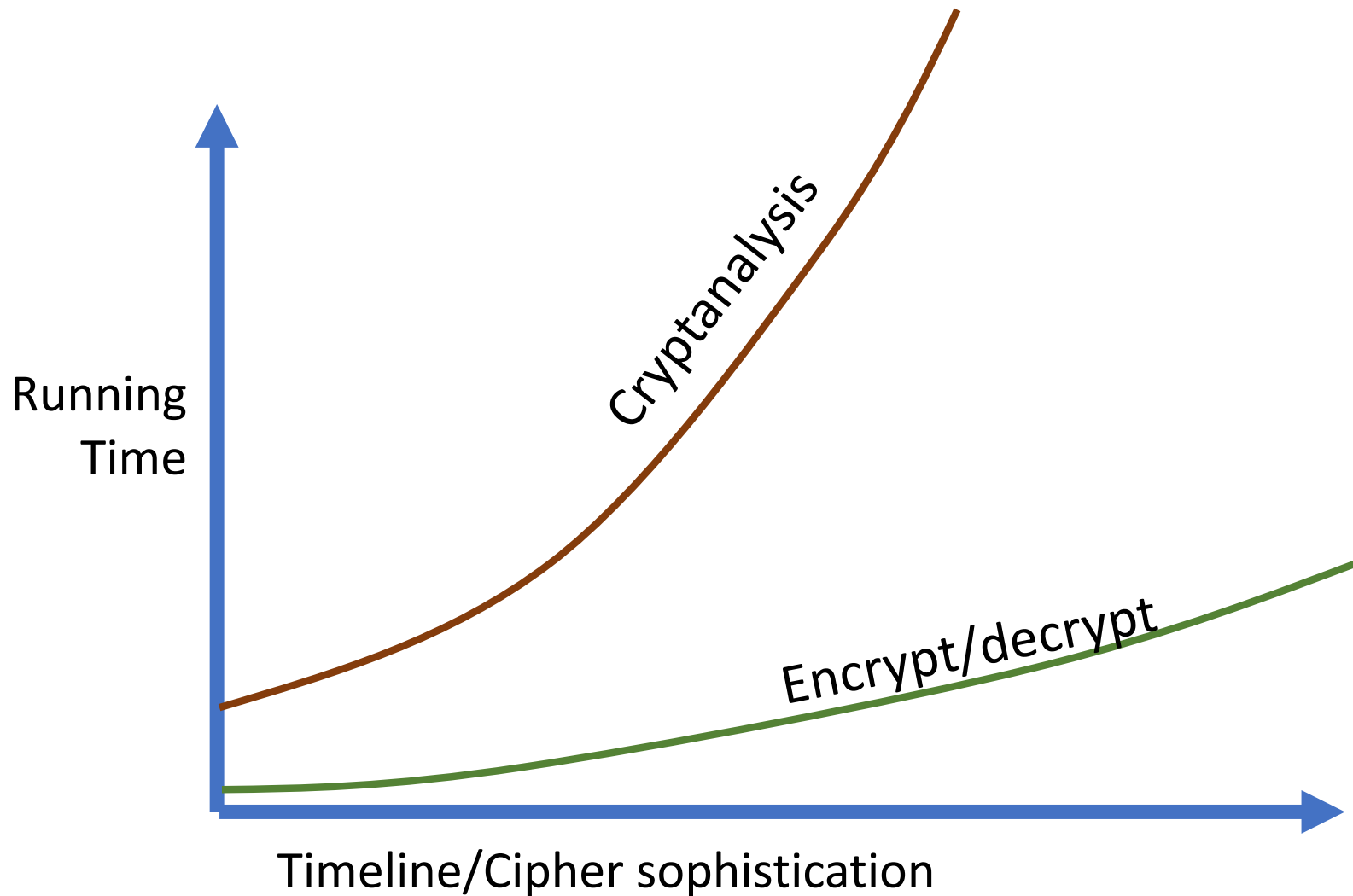
For most of history, cipher design and usage based largely on intuition

- Intuition in many cases false

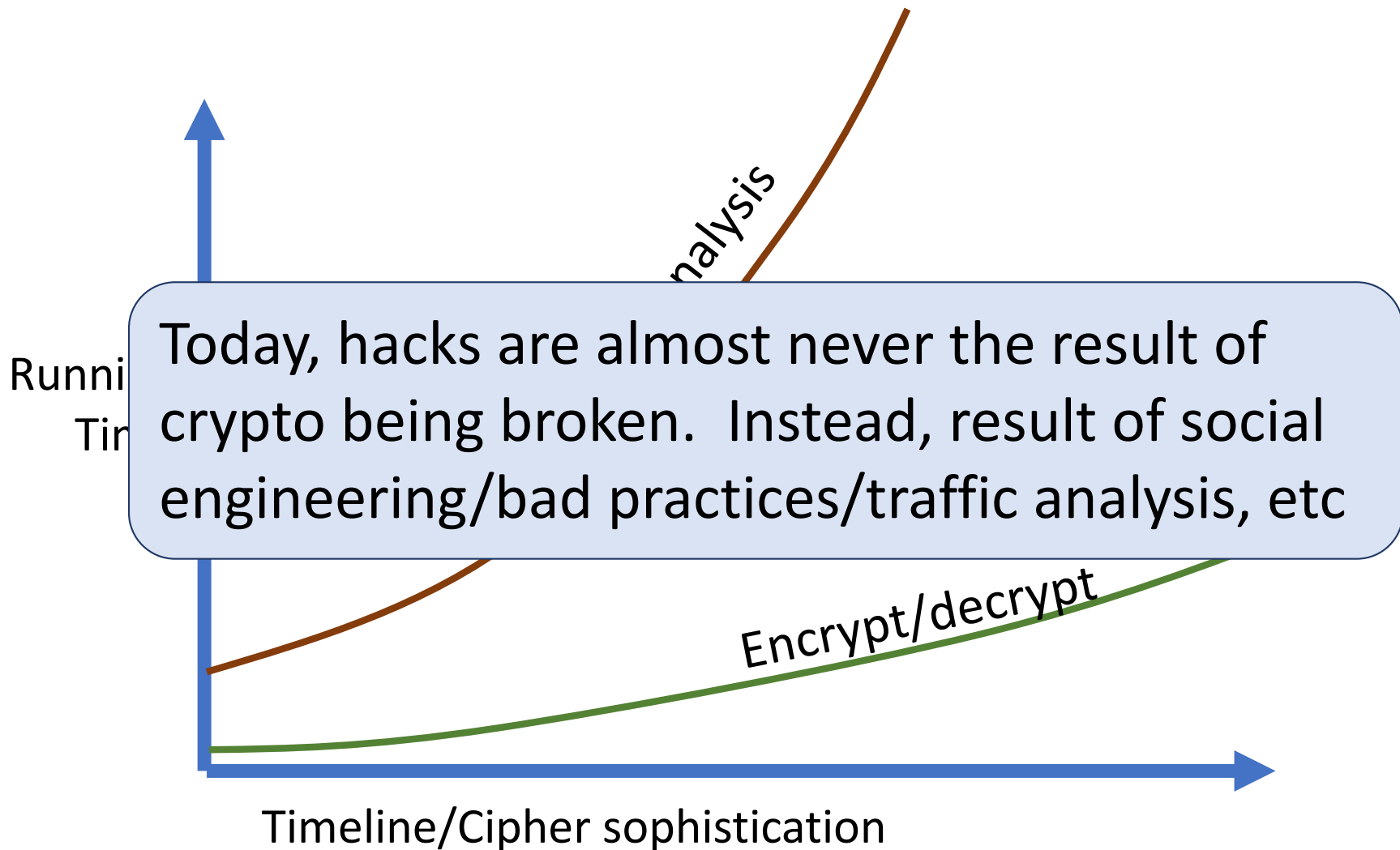
Instead, need to formally define the usage scenario

- Prove that scheme is secure in scenario
- Only use scheme in that scenario

# Takeaway: Importance of Computers



# Takeaway: Importance of Computers



# Modern Cryptography

# Encryption Basics (for now)

## Syntax:

- Key space  $\mathbf{K}$  (usually  $\{0,1\}^\lambda$ )
- Message space  $\mathbf{M}$  (usually  $\{0,1\}^n$ )
- Ciphertext space  $\mathbf{C}$  (usually  $\{0,1\}^m$ )
- **Enc:**  $\mathbf{K} \times \mathbf{M} \rightarrow \mathbf{C}$
- **Dec:**  $\mathbf{K} \times \mathbf{C} \rightarrow \mathbf{M}$

## Correctness (aka Completeness):

- For all  $\mathbf{k} \in \mathbf{K}$ ,  $\mathbf{m} \in \mathbf{M}$ , **Dec**( $\mathbf{k}$ , **Enc**( $\mathbf{k}, \mathbf{m}$ ) ) =  $\mathbf{m}$



# Encryption Security?

Questions to think about:

What kind of messages?

What does the adversary already know?

What information are we trying to protect?

Examples:

- Messages are always either “attack at dawn” or “attack at dusk”, trying to hide which is the case
- Messages are status updates (“<person> reports <event> at <location>”). Which data is sensitive?

# Encryption Security?

Questions to think about:

What kind of messages?

What does the adversary already know?

What information are we trying to protect?

Goal:

Rather than design a separate system for each use case, design a system that works in all possible settings

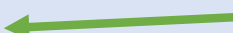
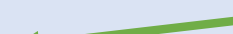
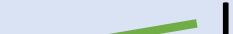
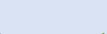
# Semantic Security


Idea:

- Plaintext comes from an arbitrary distribution
- Adversary initially has some information about the plaintext
- Seeing the ciphertext should not reveal any more information
- Model unknown key by assuming it is chosen uniformly at random

# (Perfect) Semantic Security

**Definition:** A scheme **(Enc, Dec)** is **(perfectly) semantically secure** if, for all:

- Distributions **D** on **M**  Plaintext distribution
- Functions **I: M → {0,1}<sup>\*</sup>**  Info adv gets
- Functions **f: M → {0,1}<sup>\*</sup>**  Info adv tries to learn
- Functions **A: C × {0,1}<sup>\*</sup> → {0,1}<sup>\*</sup>**  Adversary

There exists a function **S: {0,1}<sup>\*</sup> → {0,1}<sup>\*</sup>**  “Simulator” such that

$$\begin{aligned} \Pr[ A( \text{Enc}(k,m) , I(m) ) = f(m) ] \\ = \Pr[ S( I(m) ) = f(m) ] \end{aligned}$$

where probabilities are taken over  $k \leftarrow K, m \leftarrow D$

# Semantic Security

Captures what we want out of an encryption scheme

But, complicated, with many moving parts

Want: something simpler...

# Meaning of Perfect Secrecy

Perfect secrecy is a great definition

- Simple
- Easy to prove

However, it doesn't obviously capture what we need

- What does adversary learn about plaintext?

# Semantic Security = Perfect Secrecy

**Theorem:** A scheme **(Enc,Dec)** is semantically secure if and only if it has perfect secrecy

# Perfect Secrecy $\Rightarrow$ Semantic Security

Given arbitrary:

- Distribution  $\mathbf{D}$  on  $\mathbf{M}$
- Function  $\mathbf{I:M \rightarrow \{0,1\}^*}$
- Function  $\mathbf{f:M \rightarrow \{0,1\}^*}$
- Function  $\mathbf{A:C \times \{0,1\}^* \rightarrow \{0,1\}^*}$

Know:  $\mathbf{E(K, m_0) \stackrel{d}{=} E(K, m_1)}$

Goal: Construct  $\mathbf{S:\{0,1\}^* \rightarrow \{0,1\}^*}$  such that

$$\begin{aligned} \Pr[ \mathbf{A( Enc(k,m) , I(m) ) = f(m) } ] \\ = \Pr[ \mathbf{S( I(m) ) = f(m) } ] \end{aligned}$$



# Perfect Secrecy $\Rightarrow$ Semantic Security

**S(i):**

- Choose random  $\mathbf{k} \leftarrow \mathbf{K}$
- Set  $\mathbf{c} \leftarrow \mathbf{Enc}(\mathbf{k}, \mathbf{0})$
- Run and output  $\mathbf{A}(\mathbf{c}, i)$

$$\mathbf{Pr}[ \mathbf{S}( \mathbf{I}(m) ) = f(m) ]$$

$$= \mathbf{Pr}[ \mathbf{A}( \mathbf{Enc}(\mathbf{k}, \mathbf{0}) , \mathbf{I}(m) ) = f(m) : m \leftarrow \mathbf{D} ]$$

$$= \sum_{m,c} \mathbf{Pr}[\mathbf{D}=m] \mathbf{Pr}[\mathbf{Enc}(\mathbf{K}, \mathbf{0})=c] \mathbf{Pr}[ \mathbf{A}(\mathbf{c}, \mathbf{I}(m)) = f(m) ]$$

$$= \sum_{m,c} \mathbf{Pr}[\mathbf{D}=m] \mathbf{Pr}[\mathbf{Enc}(\mathbf{K}, m)=c] \mathbf{Pr}[ \mathbf{A}(\mathbf{c}, \mathbf{I}(m)) = f(m) ]$$

$$= \mathbf{Pr}[ \mathbf{A}( \mathbf{Enc}(\mathbf{k}, m) , \mathbf{I}(m) ) = f(m) ]$$

# Semantic Security $\Rightarrow$ Perfect Secrecy

Proof by contrapositive:

- Assume  $\exists \mathbf{m}_0, \mathbf{m}_1$  s.t.  $\mathbf{Enc}(\mathbf{K}, \mathbf{m}_0) \stackrel{d}{\neq} \mathbf{Enc}(\mathbf{K}, \mathbf{m}_1)$
- Devise  $\mathbf{D}, \mathbf{I}, \mathbf{f}, \mathbf{A}$  such that no  $\mathbf{S}$  exists

$\mathbf{D}$ : pick  $\mathbf{b} \leftarrow \{0,1\}$  at random, output  $\mathbf{m}_b$

$\mathbf{I}$ : empty

$\mathbf{f}(\mathbf{m}_b) = \mathbf{b}$

$\mathbf{A}(\mathbf{c}) = 1$  iff  $\Pr[\mathbf{Enc}(\mathbf{K}, \mathbf{m}_1) = \mathbf{c}] > \Pr[\mathbf{Enc}(\mathbf{K}, \mathbf{m}_0) = \mathbf{c}]$

Semantic Security  $\Rightarrow$  Perfect Secrecy

Let  $T = \{c: \Pr[\text{Enc}(K, m_1) = c] > \Pr[\text{Enc}(K, m_0) = c]\}$

$$\Pr[ A( \text{Enc}(K, m) ) = f(m) : m \leftarrow D ]$$

$$= \frac{1}{2} \Pr[ A( \text{Enc}(K, m_0) ) = 0 ] \\ + \frac{1}{2} \Pr[ A( \text{Enc}(K, m_1) ) = 1 ]$$

$$= \frac{1}{2} \Pr[ \text{Enc}(K, m_0) \notin T ] \\ + \frac{1}{2} \Pr[ \text{Enc}(K, m_1) \in T ]$$

$$= \frac{1}{2} + \frac{1}{2} ( \Pr[ \text{Enc}(K, m_1) \in T ] \\ - \Pr[ \text{Enc}(K, m_0) \in T ] )$$

Semantic Security  $\Rightarrow$  Perfect Secrecy

$$\begin{aligned}\Pr[ \text{Enc}(K, m_b) \in T ] \\ &= \sum_{c \in T} \Pr[\text{Enc}(K, m_b) = c] \\ &= 1 - \sum_{c \notin T} \Pr[\text{Enc}(K, m_b) = c]\end{aligned}$$

$$\begin{aligned}\Pr[ \text{Enc}(K, m_1) \in T ] - \Pr[ \text{Enc}(K, m_0) \in T ] \\ &= \sum_{c \in T} \Pr[\text{Enc}(K, m_1) = c] - \Pr[\text{Enc}(K, m_0) = c] \\ &= \sum_{c \notin T} \Pr[\text{Enc}(K, m_0) = c] - \Pr[\text{Enc}(K, m_1) = c] \\ &= \frac{1}{2} \sum_c | \Pr[\text{Enc}(K, m_1) = c] - \Pr[\text{Enc}(K, m_0) = c] | \end{aligned}$$

# Proper Use Case for Perfect Security

- Message can come from any distribution ✓
- Adversary can know anything about message ✓
- Encryption hides anything ✓
- But, definition only says something about an adversary that sees a single message ✗
  - ⇒ If two messages, no security guarantee
- Assumes no side-channels ✗
- Assumes key is uniformly random ✗

# Next Time

How to shrink key length

How to handle multiple messages

Reminders:

- Find teams by Friday (Feb 9<sup>th</sup>)
- Fill out OH Doodle poll by Friday (Feb 9<sup>th</sup>)
- PR1 ciphertexts out on Saturday (Feb 10<sup>th</sup>)
- HW1 due on Tuesday (Feb 13<sup>th</sup>)