

Lattice cryptography

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Definition: Post-Quantum Cryptography – classically computed protocols secure against quantum adversaries.

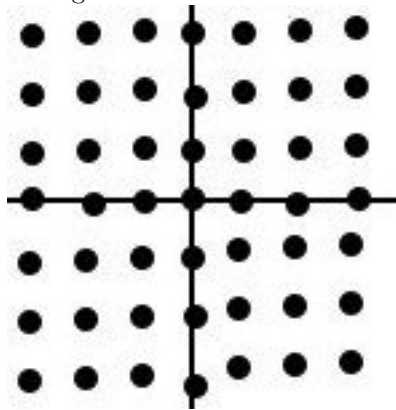
1 Lattices

A lattice is a grid of dots, not necessarily aligned with the coordinate axes. It is always > 2 dimensions. (usually n -dimensional where $n \approx 100$)

Formally, a lattice falls under either of two definitions:

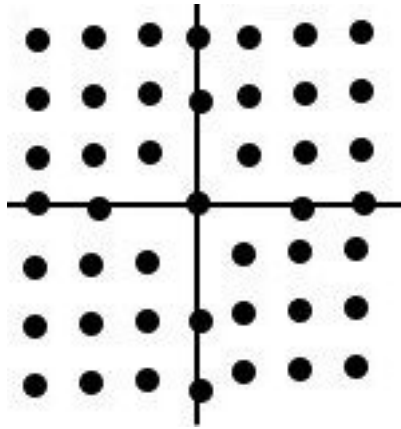
- (1) Any discrete subgroup of \mathbb{R}^n
- (2) Let $B = \{b_1, \dots, b_k\}$ be a linearly independent subset of \mathbb{R}^n . The lattice is the set $L(B) = \left\{ \sum_{i=1}^k x_i b_i \mid x_i \in \mathbb{Z} \right\}$

For example, the lattice $L(B)$ where $B = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ is the grid of all points with integer coordinates. Here is an illustration:



The lattice $L(B)$ where $B = \begin{pmatrix} 1 & 3 \\ 1 & 2 \end{pmatrix}$, is the same lattice as before.

The lattice $L(B)$ where $B = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$, is the same lattice as before, except the points $(1, 0)$, $(0, 1)$, $(-1, 0)$, $(0, -1)$ are not in the lattice, as in the illustration below.



The set $B = (1 \ \sqrt{2})$ does not form a lattice, since the elements are not linearly independent.

Though not formally required, usually a full rank lattice is used.

2 Hardness assumptions

Cryptography relies on hardness assumptions. For lattices, these are:

(1) **Shortest Vector Problem (SVP)**: Given $B \in \mathbb{Z}^{n \times n}$ find $v \in L(B) \setminus \{0\}$ s.t. $|v|$ is minimized.

(2) **Closest vector problem (CVP)**: Given $B \in \mathbb{Z}^{n \times n}, u \in \mathbb{Z}^n$ find $v \in L(B)$

$\setminus \{0\}$ s.t. $|v - u|$ is minimized.

These problems are easy in dimension 2 but harder in higher dimensions.

3 Approximation

Unfortunately, these problems are NP hard in the exact case. Instead, we use approximate variations of the problems, SVP_γ and CVP_γ , which are correct to within a gamma factor of optimal.

4 Decisional variants

We also define decision SVP and CVP problems. The decisional variant of SVP is called gap-SVP_γ , outputs “yes” if, given (B, S) , the shortest vector is of length at most s , and outputs “no” if, given (B, S) , the shortest vector is of length at most γs . The behavior for shortest vectors between lengths s and γs is undefined. gap-CVP_γ is defined similarly.

5 Complexity landscape

The complexity landscape of SVP_γ is as follows:

1. $1 < \gamma < 2^{(\log n)^{1-\epsilon}}$: NP-hard
2. $\sqrt{n} < \gamma < n$: NP \cap Co-NP

3. $n < \gamma < 2^{n \frac{\log \log n}{\log n}}$: Acceptable for cryptography
4. $\gamma > 2^{n \frac{\log \log n}{\log n}}$: Easy

6 Trapdoors

Observe: not all bases are created equal. This lets us create trapdoor functions.

For example, we can use Lattice Rounding to solve CVP_γ .

Suppose all entries are bounded by δ . Then we can solve $v = B \lceil B^{-1}u \rceil$, and $|v - u| = |B(\lceil B^{-1}u \rceil - (B^{-1}u))| \leq n^{\frac{3}{2}}\delta$

7 Encryption

Scheme for encryption:

Secret Key: A “good” basis B

Public Key: A “bad” basis B' s.t. $L(B) = L(B')$

$Enc(pk, m)$: Map m onto $L(B')$, add some small error to get ciphertext c

$Decr(sk, m)$: Solve CVP_γ to find m .

8 Signatures

In general, signatures have 2 functions:

$Sign(sk, m) \rightarrow \sigma$

$Ver(pk, m, \sigma) \rightarrow$ “Yes”, if σ corresponds to m . “No” otherwise.

It also must hold that given just (pk, m) , it is hard to find σ .

For lattices, our scheme is:

Secret Key: A “good” basis B

Public Key: A “bad” basis B' s.t. $L(B) = L(B')$

$Sign(sk, m)$: Map $m \rightarrow \mathbb{R}^n$, then use CVP_γ to find the closest vector on the lattice, σ .

$Ver(pk, m, \sigma)$: Test that $|m - \sigma|$ is sufficiently small and that σ is in $L(B')$

9 Short integer solutions

Special lattices that make cryptography easier.

A short integer solution lattice $SIS_{n,m,q,b}$ is defined s.t. $m \gg n, b \approx \sqrt{m}$. Choose a random $A \in \mathbb{Z}_q^{n \times m}$. Then it is hard to find $x \in \mathbb{Z}^m$ s.t. $|x| < b, x \neq 0, A \cdot x = 0 \pmod q$.

It turns out this is a special case of SVP_γ , with the lattice $\Lambda(A) = \{x \in \mathbb{Z}^m \mid A \cdot x = 0 \pmod q\}$. In particular, the hardness of the worst case of SVP implies the hardness of the average case of SIS .

10 Collision-resistant hashing

Using SIS , we can construct collision resistant hashing.

Let D be the short integer vectors in \mathbb{Z}^m . Then let $h_A : D \rightarrow \mathbb{Z}_q^n$ be a hash function such that $h_A(x) = A \cdot x \pmod q$. To show collision resistance, assume

we find a collision x_0, x_1 i.e. $A \cdot x_0 \pmod q = A \cdot x_1 \pmod q$. Then we find a short vector $(x_0 - x_1) \neq 0$ s.t. $A \cdot (x_0 - x_1) = 0 \pmod q$, violating the SIS hardness assumption.