

# CS 161: Design and Analysis of Algorithms

# NP-Complete II: More NP-Complete Problems

- The problems
- Some reductions

# So Far

All of NP



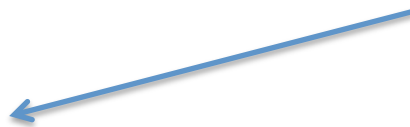
Circuit SAT



3SAT



SAT



Independent Set

# Recipe For Proving NP-Completeness

- Pick a well-known NP-Complete problem
- Prove that your problem can be used to solve the well-known NP-Complete problem
  - Given an instance of the well-known problem, construct an instance of your problem
  - Show that the instance of the well-known problem has a solution if and only if the instance of your problem does

# Hamiltonian Cycle

- Given a graph  $G = (V, E)$ , is there a simple cycle that visits every node exactly once, and returns to the starting point?

# Hamiltonian Path

- Given a graph  $G$  and two nodes  $s$  and  $t$ , is there a simple path from  $s$  to  $t$  that visits all nodes in  $G$ ?

# Longest Path

- Given a graph  $G$  and a goal  $b$ , determine if there is a simple path in  $G$  with length at least  $b$

# 3D Matching

- $n$  boys,  $n$  girls,  $n$  pets
- Set of triples  $(b,g,p)$  that means  $b$ ,  $g$ , and  $p$  go well together
- Find a way to match up each boy, girl, and pet



# Vertex Cover

- Find a collection of at most  $b$  nodes that touch every edge in the graph

# Set Cover

- Given a set  $E$  and a collection of subsets  $\{S_i\}$ , pick at most  $b$  subsets such that their union is  $E$

# Clique

- A **clique** of a graph  $G = (V, E)$  is a set of  $n$  nodes such that every two nodes in the set have an edge between them
- The Clique problem is to, given a goal  $g$ , find a clique on at least  $g$  nodes

# Subset Sum

- Given a set of integers  $v_i$ , find a subset of the integers whose sum is exactly  $V$

# Knapsack

- Given a set of items  $\{1, \dots, n\}$ , weights for each item  $w_i$ , value of each item  $v_i$ , weight capacity  $W$ , and a target value  $V$ , find a subset of the items whose total weight is at most  $W$  and whose value is at least  $V$

# Integer Linear Programming

$$\max \sum_i c_i x_i$$

$$\sum_i A_{j,i} x_i \leq b_j \forall j$$

$$x_i \geq 0 \forall i$$

$$x_i \in \mathbb{Z}$$

# Zero-One Equations

find  $x_i$

$$\sum_i A_{j,i} x_i = 1 \forall j$$

$$A_{j,i} \in \{0, 1\}$$

$$x_i \in \{0, 1\}$$

# Scheduling

- Given a set of  $n$  jobs, can only work on 1 at a time
- Job  $i$  is available to start working on at time  $r_i$ , due by time  $d_i$ , and has duration  $t_i$
- Can we complete all the jobs before their deadlines?



# The Reductions

# Independent Set $\leq_p$ Vertex Cover

- $S$  is an independent set if and only if  $V-S$  is a vertex cover
- Given a graph  $G$  and a goal  $b$ , for the independent set problem, construct  $(G, |V|-b)$  as an instance of Vertex Cover

# Independent Set $\leq_p$ Clique

- Define the complement  $G^* = (V, E^*)$  of a graph  $G = (V, E)$  where  $E^*$  consists of every edge not in  $E$
- $S$  is an independent set of  $G$  if and only if  $S$  is a clique in  $G^*$
- Given a graph  $G$  and a goal  $b$  for the Independent Set problem, simply compute  $(G^*, b)$  as an instance of Clique

# So Far

All of NP



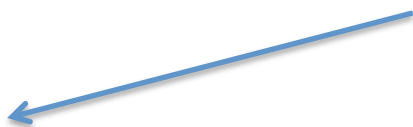
Circuit SAT



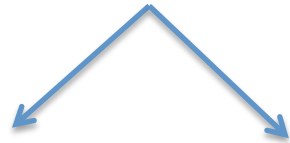
3SAT



SAT



Independent Set



Vertex  
Cover

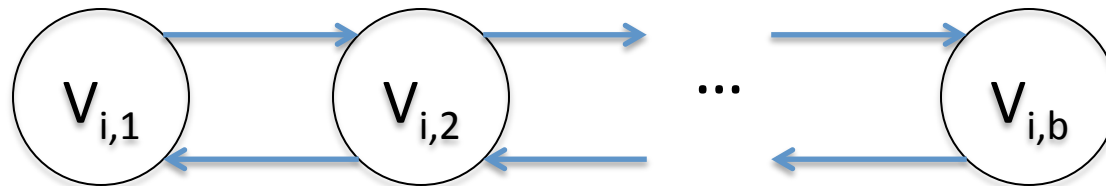
Clique



Set Cover

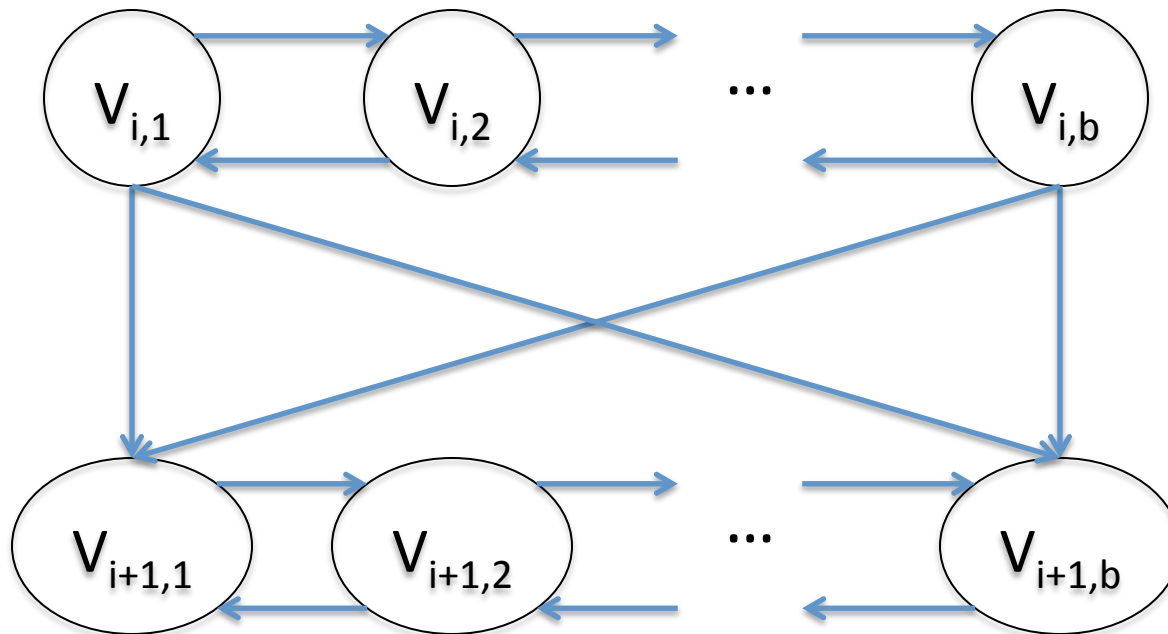
# 3SAT $\leq_p$ Directed Hamiltonian Cycle

- Given a 3SAT instance with  $n$  variables,  $k$  clauses
- Pick some  $b \gg k$  (to be determined later)
- Construct  $n$  paths  $P_i$



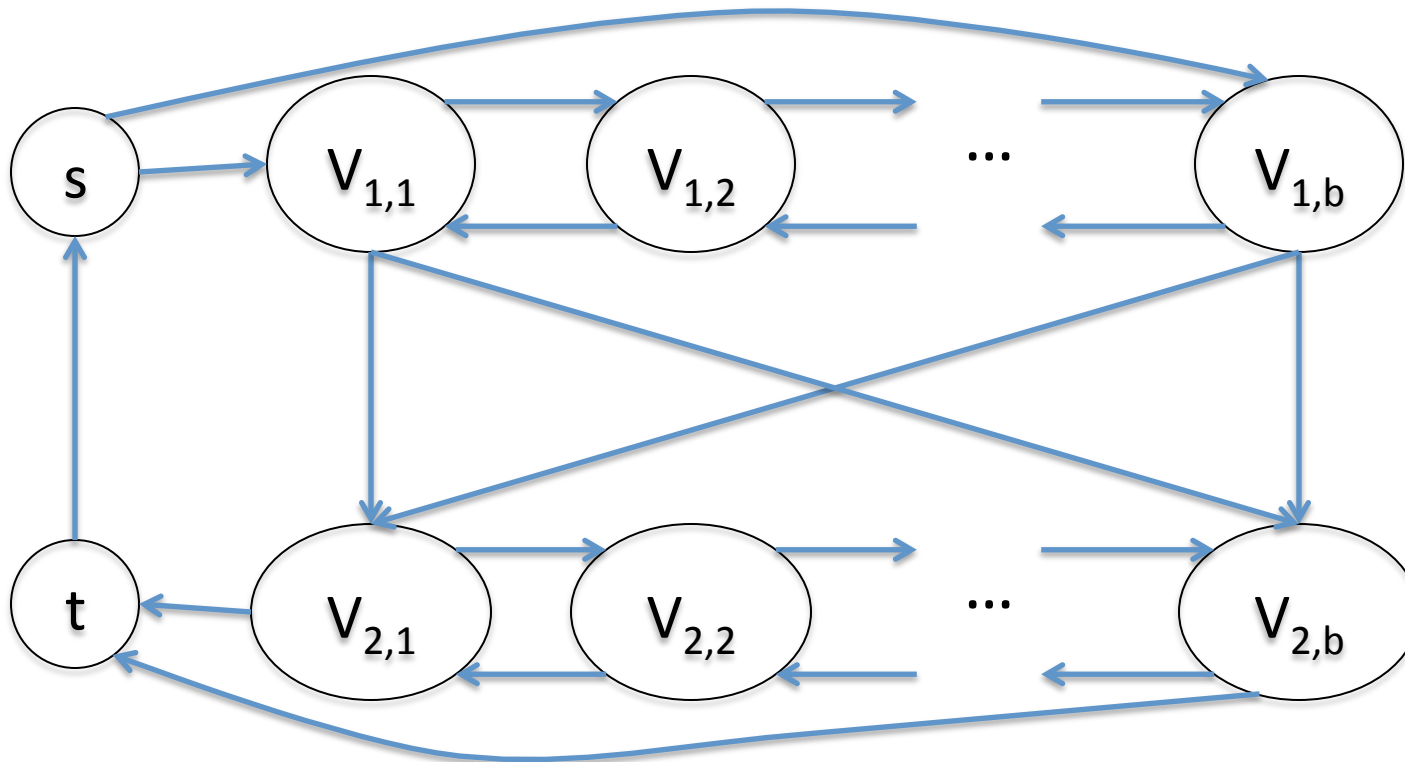
# 3SAT $\leq_p$ Directed Hamiltonian Cycle

- Add edges  $(v_{i,1}, v_{i+1,1})$ ,  $(v_{i,1}, v_{i+1,b})$ ,  $(v_{i,b}, v_{i+1,1})$ ,  $(v_{i,b}, v_{i+1,b})$



# 3SAT $\leq_p$ Directed Hamiltonian Cycle

- Add a source node  $s$ , target node  $t$ , and 5 edges:  $(s, v_{1,1}), (s, v_{1,b}), (v_{n,1}, t), (v_{n,b}, t), (t, s)$



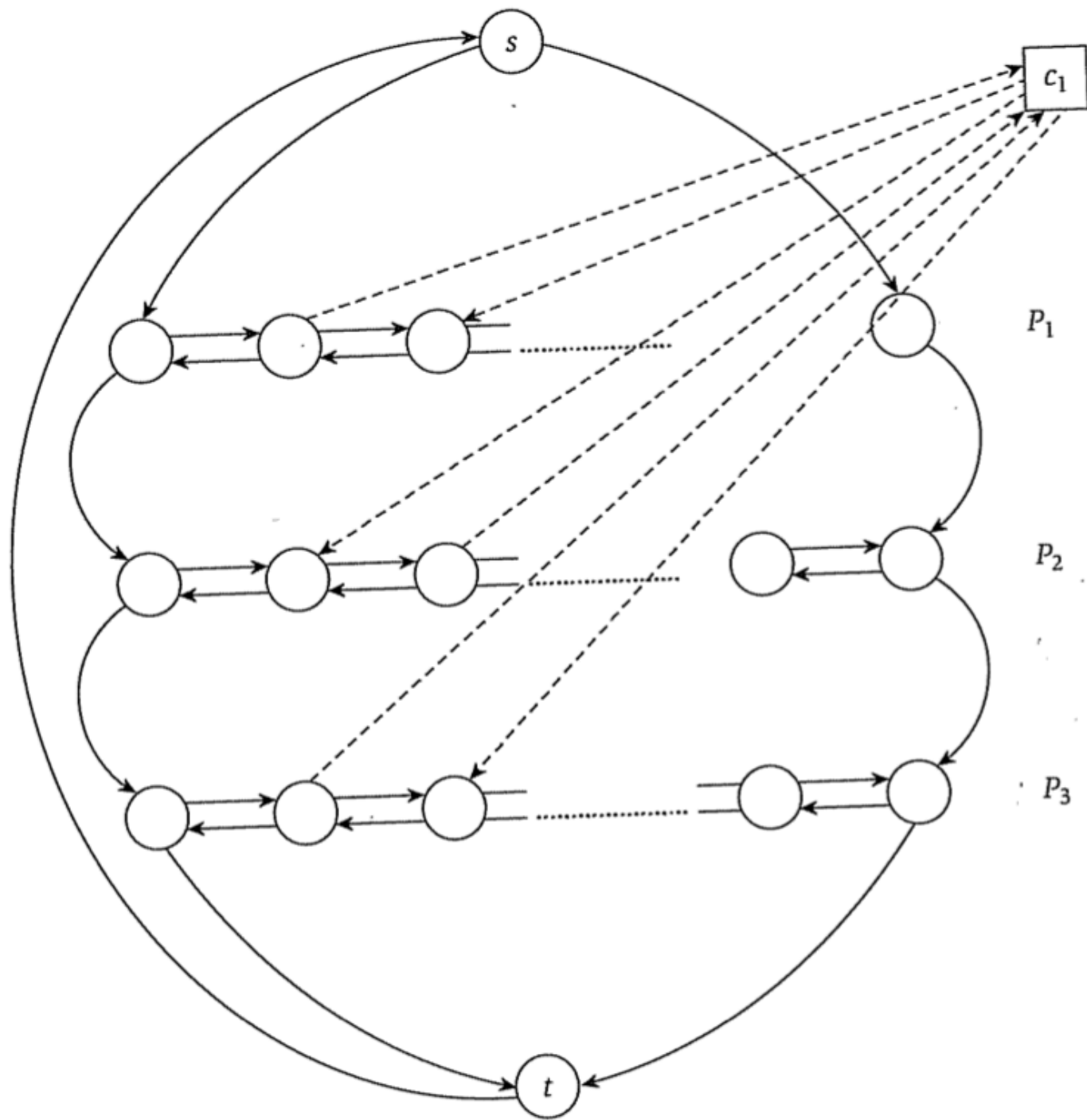
# $3SAT \leq_p$ Directed Hamiltonian Cycle

- Any cycle through this graph must hit every path  $P_i$  and travel through it
- For each path, have a choice: travel from right to left or from left to right
- $2^n$  different cycles
- Identify with variable assignments: if  $P_i$  goes left-to-right,  $x_i$  true, false otherwise



# 3SAT $\leq_p$ Directed Hamiltonian Cycle

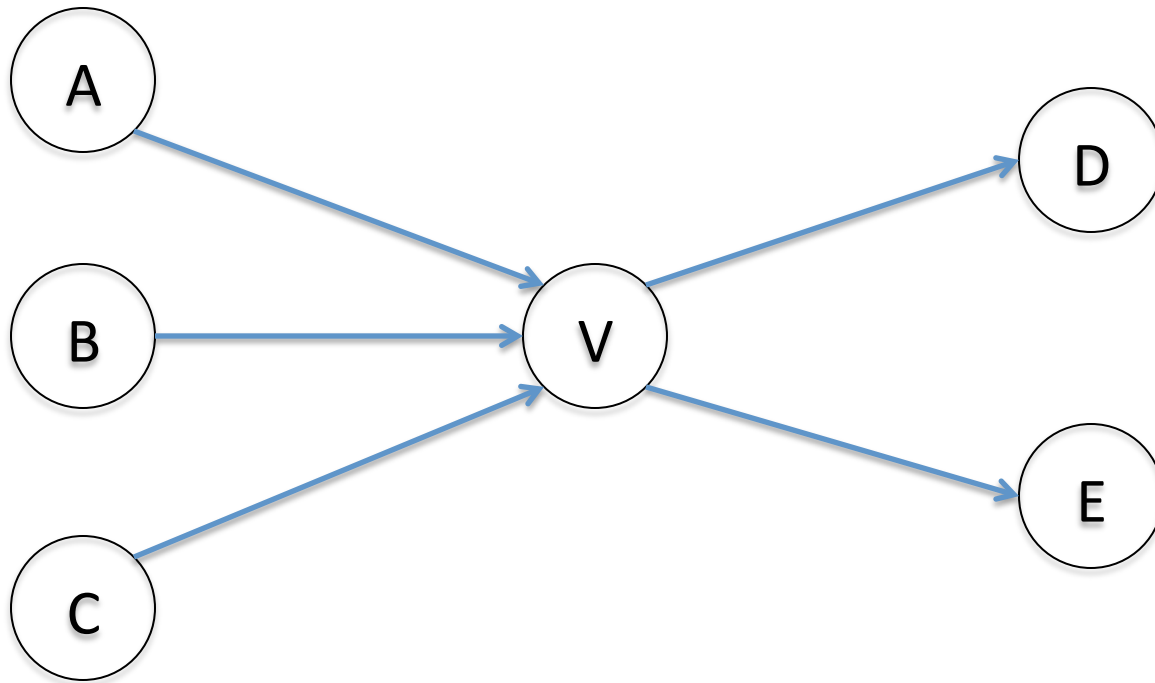
- How to enforce clauses?
- Example:  $(x_1 \vee \overline{x_2} \vee x_3)$ 
  - Either path  $P_1$  goes left to right, or  $P_2$  goes right to left, or  $P_3$  goes left to right
  - How do we enforce this?



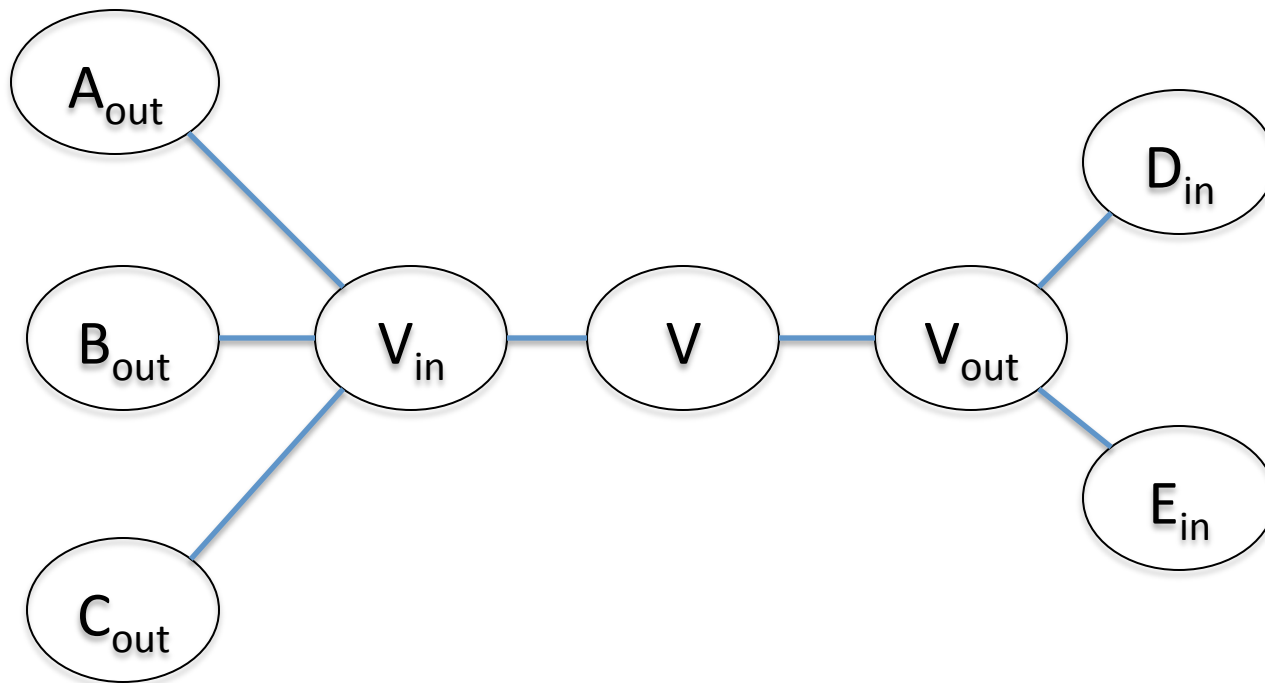
# 3SAT $\leq_p$ Directed Hamiltonian Cycle

- If our 3SAT instance has a satisfying assignment, there will be a Hamiltonian path in  $G$  where each path  $P_i$  is traveled in the direction indicated by  $x_i$
- If  $G$  has a Hamiltonian path, then we can get a satisfying assignment by setting  $x_i$  to the direction  $P_i$  was traversed
- Note: delete edge  $(t,s)$ , and we can show that Directed Hamiltonian Path is also NP-Complete

# Directed Hamiltonian Cycle $\leq_p$ Hamiltonian Cycle



# Directed Hamiltonian Cycle $\leq_p$ Hamiltonian Cycle



# Directed Hamiltonian Cycle $\leq_p$ Hamiltonian Cycle

- If  $G$  has a directed Hamiltonian Cycle,  $G$  has an undirected Hamiltonian Cycle
  - If we follow edge  $(u,v)$  in  $G$ , we follow edges  $(u, u_{out}), (u_{out}, v_{in}), (v_{in}, v)$

# Directed Hamiltonian Cycle $\leq_p$ Hamiltonian Cycle

- If  $G'$  has an undirected Hamiltonian Cycle, pick some node  $v$ , and orient the cycle so that the path goes from  $v_{in}$  to  $v$  to  $v_{out}$
- Next node after  $v_{out}$  has to be  $w_{in}$  for some other node  $w$
- Must visit  $w$  next (otherwise, we will never be able to visit  $w$  in the future)
- Then must visit  $w_{out}$

# Directed Hamiltonian Cycle $\leq_p$ Hamiltonian Cycle

- Thus, we always visit nodes in order  $u_{in}, u, u_{out}$ .
- To construct Hamiltonian path in  $G$ : If we follow some edge  $(u_{out}, u'_{in})$  in  $G'$ , follow the edge  $(u, u')$  in  $G$
- Therefore,  $G$  has a directed Hamiltonian cycle if and only if  $G'$  has an undirected Hamiltonian cycle
- Note: reduction also works for Directed Hamiltonian Path  $\leq_p$  Hamiltonian Path



# Hamiltonian Cycle $\leq_p$ TSP

- Given a graph  $G = (V, E)$ , construct a new weighted complete graph  $G'$  on  $V$  as follows:
  - If  $e$  is in  $E$ , then  $w(e) = 1$
  - If  $e$  is not in  $E$ , then  $w(e) = 1 + x$ 
    - Determine  $x$  later

# Hamiltonian Cycle $\leq_p$ TSP

- If  $G$  has a Hamiltonian cycle, then that cycle is a tour of length  $|V|$  in  $G'$
- Similarly, if  $G'$  has a tour of length  $|V|$ , all edges must be of weight 1, they must be present in  $G$ . Therefore, we have a Hamiltonian cycle in  $G$

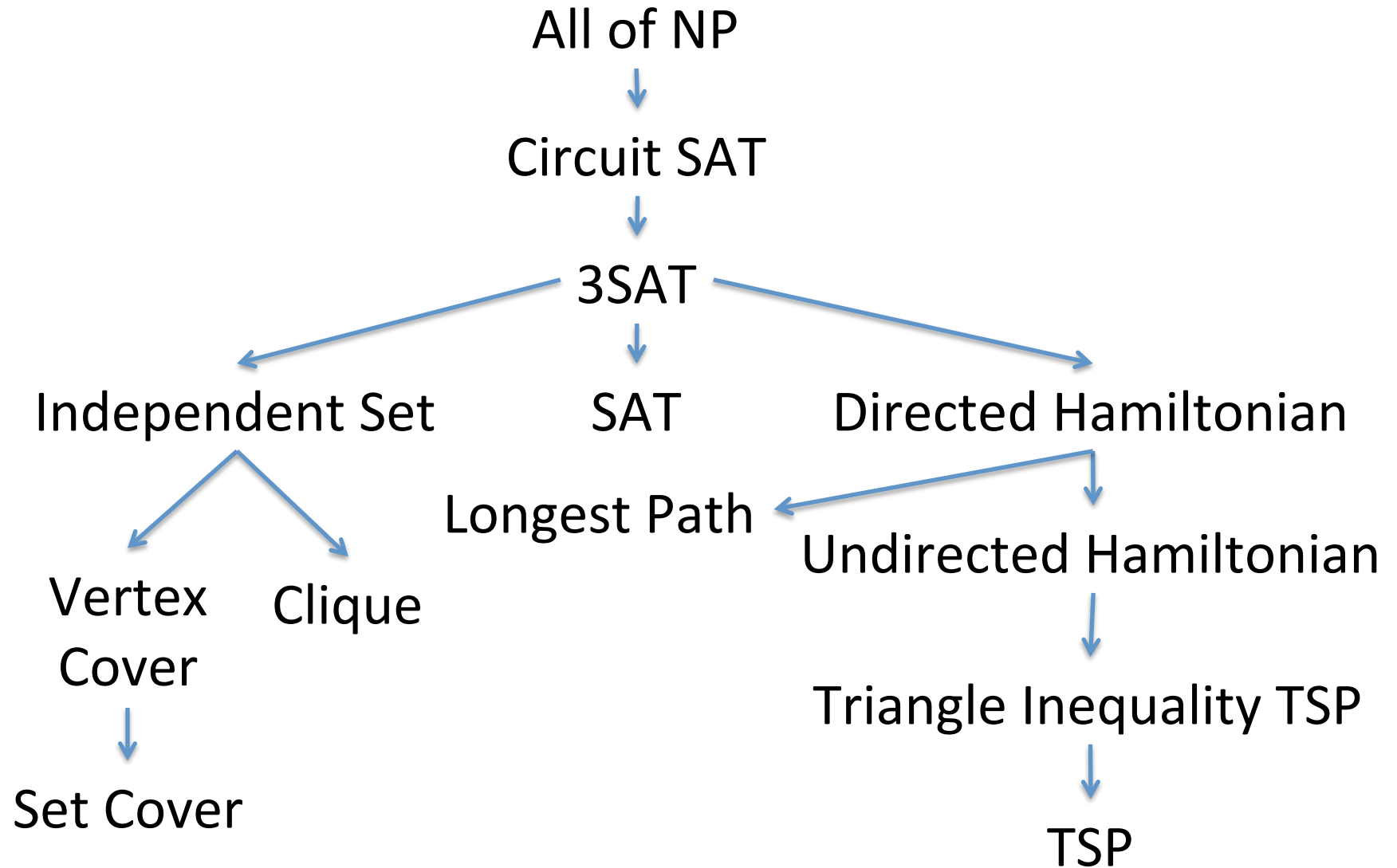
$$x = 1$$

- All edges have weight 1 or 2
- Triangle inequality satisfied:
  - $w(u,v) + w(v,w) \geq w(u,w)$
- Therefore, TSP where edge weights satisfy triangle inequality is still NP-Complete

# Large $x$

- Notice in general that either there is a tour of length  $n$ , or the lightest tour has length at least  $n + x$
- What if we could approximate TSP to within a factor of  $p(n)$  for some polynomial  $n$
- Set  $x = n p(n)$
- If there is a Hamiltonian cycle, then there is a cycle with cost  $n \rightarrow$  obtain cycle with cost  $\leq n p(n) \rightarrow$  must have optimal cycle

# So Far



# Problems We Haven't Proved

- 3D Matching
- ILP
- ZOE
- Subset Sum
- Knapsack
- Scheduling

Subset Sum



Knapsack



ILP

# Coping With NP-Completeness

# Coping With NP-Completeness

- Suppose we need to solve some *optimaztion* problem
- We realize that the decision version is NP-Complete
- We probably cannot solve all instances exactly
- Approximate?



# Approximation Algorithms

- Recall our greedy algorithm for set cover
  - Repeatedly pick the set that contains the most number of uncovered elements
  - If there is a set cover of size  $k$ , greedy returns a set cover of size at most  $k \ln n$
- Approximation ratio: ratio of obtained solution to optimal solution
  - Set cover:  $\ln n$

# Vertex Cover

- Special case of set cover, so also a  $\ln n$  ratio
- Can we do better?

# Vertex Cover

- Vertex Cover: set of nodes touching every edge
- Matching: Set of edges that don't share endpoints
- Any vertex cover is at least as large as any matching

# Vertex Cover

- Maximal Matching: a matching such that we can't add any more edges
  - Easy to compute: keep adding an edge until we can't any more
- Algorithm: compute maximal matching  $M$ , and return the set  $S$  containing both endpoints of every edge of  $M$ 
  - $|S| = 2|M|$

# Vertex Cover

- Is  $S$  a vertex cover?
  - Say some edge  $e$  has both endpoints not in  $S$
  - Then we can add  $e$  to the matching  $M$ , meaning  $M$  wasn't maximal
- Let optimal vertex cover have size  $Opt$
- $|S| = 2|M| \leq 2 Opt$
- Approximation ratio = 2

# Knapsack

- For any constant  $\varepsilon$ , possible to devise a polynomial time algorithm with an approximation ratio of  $1+\varepsilon$
- Called a polynomial time approximation scheme (PTAS)

# Travelling Salesman

- We briefly saw that Triangle Inequality TSP can be approximated within a factor of 2
- General TSP: cannot be approximated to within any polynomial unless  $P = NP$ 
  - Reduction from Hamiltonian

# Not all NP-Complete Problems are Created Equal

- Polynomial time approximation scheme
  - Subset sum, Euclidean TSP
- Constant factor approximation
  - Vertex cover, Triangle Inequality TSP
- Some polynomial factor
  - Set cover
- No Approximation
  - TSP